Effects of soil organisms on aboveground plant-insect interactions in the field: patterns, mechanisms and the role of methodology

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Abstract

Soil biota-plant interactions play a dominant role in terrestrial ecosystems. Through nutrient mineralization and mutualistic or antagonistic interactions with plants soil biota can affect plant performance and physiology and via this affect plant-associated aboveground insects. There is a large body of work in this field that has already been synthesized in various review papers. However, most of the studies have been carried out under highly controlled laboratory or greenhouse conditions.

Here, we review studies that manipulate soil organisms of four dominant taxa (i.e. bacteria, fungi, nematodes and soil arthropods) in the field and assess the effects on the growth of plants and interactions with associated aboveground insects.

We show that soil organisms play an important role in shaping plant-insect interactions in the field and that general patterns can be found for some taxa. Plant growth-promoting rhizobacteria generally have negative effects on herbivore performance or abundance, most likely through priming of defenses in the host plant. Addition of arbuscular mycorrhizal fungi (AMF) has positive effects on sap sucking herbivores, which is likely due to positive effects of AMF on nutrient levels in the phloem. The majority of AMF effects on chewers were neutral but when present, AMF effects were positive for specialist and negative for generalist chewing herbivores. AMF addition has negative effects on natural enemies in the field, suggesting that AMF may affect plant attractiveness for natural enemies, e.g. through volatile profiles. Alternatively, AMF may affect the quality of prey or host insects mediated by plant quality, which may in turn affect the performance and density of natural enemies. Nematodes negatively affect the performance of sap sucking herbivores (generally through phloem quality) but have no effect on chewing herbivores. For soil arthropods there are no clear patterns yet. We further show that the methodology used plays an important role in influencing the outcomes of field studies. Studies using potted plants in the field and studies that remove target soil taxa by means of pesticides are most likely to detect significant results. Lastly, we discuss suggestions for future research that could increase our understanding of soil biota-plant-insect interactions in the field.
1. Introduction

Soils are an important source of diversity of microbes worldwide (Ramirez et al., 2018), but soil is also home to various other higher taxa, such as nematodes, root feeding insects or even vertebrates (Bardgett & Van der Putten, 2014). The role of soil biota in ecosystem functioning is widely recognized and the study of soil biota-plant interactions has developed into a very active and large field in ecology. Soil organisms fulfil key processes in the soil, such as decomposition and nutrient mineralization. Many microorganisms engage in mutualistic interactions with plant hosts, aiding in the uptake of nutrients and water (e.g. arbuscular mycorrhizal fungi, AMF), in exchange for photosynthates or other plant metabolites. Other groups of soil micro- and macro-organisms have antagonistic effects on plant health, for example via pathogenicity (e.g. pathogenic fungi) or herbivory (e.g. root herbivorous insects). It has been shown previously in studies carried out under artificial/controlled conditions that mutualistic and antagonistic players in the soil not only impact the growth (i.e. biomass production) of plants, but also lead to the alteration of various physiological processes in plant tissues, resulting in changes in tissue quality or palatability of the plant (e.g. Bezemer & Van Dam, 2005). Through such mechanisms, soil biota can mediate interactions between the host plant and aboveground organisms, such as insect herbivores and pollinators. Despite all the attention that this subject has received, the majority of published studies have been conducted under more controlled conditions (hereafter “controlled studies”), such as in greenhouses or growth chambers. Hence, an important question is whether the results are a realistic representation of ecological processes that occur in natural systems.

Mechanisms through which soil organisms can affect aboveground insects in the field are mostly plant-mediated (Figure 1). Various organisms, most notably plant growth promoting rhizobacteria (PGPR) and arbuscular mycorrhizal fungi (AMF), can boost plant growth (e.g. Saravanakumar et al., 2008; Gadhave et al., 2015), which has been hypothesized to increase plant palatability (i.e. the plant vigour hypothesis; Price, 1991; Cornelissen et al., 2008). On the other hand, plants under biotic or abiotic stress can also be more vulnerable to attack by herbivores (i.e. the plant stress hypothesis; White, 1969). Evidence for the former has been reported from field studies (e.g. for some AMF species in Wolfe, Husband & Klironomos, 2005; Ueda et al., 2013). Several studies also find support for the plant stress hypothesis (e.g. for nematodes in Alston et al., 1991; Vockenhuber et al., 2013). However, many field studies report plant-mediated effects of soil organisms on aboveground insects, without reporting any effects on plant vigour or stress, which suggests that other factors related to plant performance (see Fig. 1) could play an important role in mediating aboveground plant-herbivore interactions.

Plant nutritional value (most importantly, nitrogen and sugar content) in the field can be positively affected by soil organisms (Gange & West, 1994; Gange, Brown & Aplin, 2005; Gange et al., 2005; Younginger, Barnouti & Moon, 2009; Moon, Barnouti & Younginger, 2012; Brunner et al., 2015; Godschalx et al., 2015; Ryalls et al., 2016). Moreover, plant secondary defence metabolites, that play a role in the palatability of host plants, can be affected by soil organisms in the field (Wurst et al., 2008; Megías & Müller, 2010). Interactions with soil organisms can also sensitize the immune system of plants so that they can respond faster or more strongly to subsequent attack by antagonists (e.g. Pieterse et al., 2014). This process, better known as induced systemic resistance (ISR), can play an important role in plant-insect interactions in the field (Saravanakumar et al., 2008; Prabhukarthikeyan, Saravanakumar & Raguchander, 2013). Soil organisms can also interfere with plant volatile emissions, which are...
important cues for herbivores (e.g. for oviposition), as well as for many natural enemies, to
detect host plants (Megali et al., 2015). Finally, several studies have shown that, for instance
AMF can affect plant functional traits, such as flower size and stamen number (Gange & Smith,

In this review, we aim to answer three main questions. 1) What is the role of whole soil
communities and plant-soil feedbacks in mediating aboveground plant-insect interactions in the
field? 2) What is the role of the individual taxa of soil organisms in mediating aboveground
plant-insect interactions in the field and how do potential patterns compare to those that are
observed in controlled studies? 3) How does the experimental methodology used in the field
affect the outcome of above-belowground studies? Furthermore, we will discuss potential
applications and suggest future directions to advance this scientific field.

2. Literature search methodology

The scientific literature was searched using Web of Science for combinations of “soil ‘faunal
group’ “ AND “insect” AND “field”, in which ‘faunal group’ was replaced by; bacteria, fung*,
nematod*, arthropod* or insect*, respectively. Furthermore, the literature was searched for
combinations of “plant-soil feedback” AND “insects” AND “field”. Suitable studies were
selected first based on title and subsequently on abstract or full manuscript. Additionally,
reference lists from suitable papers, as well as from recent reviews (Pineda et al, 2010; Gehring
& Bennett, 2009; Hartley & Gange, 2009; Koricheva et al., 2009; Wondafrash et al., 2013; Soler
et al., 2012; Johnson et al., 2012) on soil biota-plant-insect interactions were examined to detect
additional publications. Lastly, for all suitable publications, the studies that cited these
publications were scanned to detect additional studies that were published later.

In total, the literature search yielded 50 field studies, covering a total of 185 individual soil biota-
plant-insect interactions (Supplementary Table 1-4).

3. Plant-soil feedback effects on plant-insect interactions in the field

Plants are not only influenced by soil organisms, but they also play an active role in shaping the
biome around their roots. Plant species typically manipulate the microbiome around their roots,
e.g. via exudation of carbohydrates and other chemical substances (Bais et al., 2006), resulting in
specific microbial rhizosphere profiles (Lakshmanan et al., 2014). Such species-specific
microbial profiles can influence the performance of other plants that grow later in the same soil
(Kostenko et al., 2012; Bezemer et al., 2013; Kos et al., 2015; Heinen et al., 2018). This process
is known as plant-soil feedback (Van der Putten et al., 2013) and can be an important driver of
plant community dynamics (Kardol et al., 2006). In recent years, it has become evident that such
changes in soil microbial communities, via plant-mediated processes, can affect the performance
of aboveground organisms that interact with these plants. For example, several greenhouse
studies have shown that soil legacy effects, the effects of earlier plant growth on the microbial
community in the soil, can have strong effects on aboveground herbivores feeding on later
growing conspecific plants in those soils (Kostenko et al., 2012; Kos et al., 2015). A recent
study, for example, revealed that soil legacies left by grasses and forbs have contrasting effects
on a chewing herbivore that fed on plant communities growing on soils with these legacies
(Heinen et al., 2018).
Although most studies on the impact of whole soil microbiomes on plant-insect interactions have been performed in greenhouses and climate chambers, several studies have explored such relationships in the field. For example, in a field experiment, the proportion of ragwort (*Jacobaea vulgaris*) plants attacked by stem borers, leaf miners and flower feeders was much lower (up to 50%) for plants that were grown in soils with a ragwort legacy compared with plants grown in soils without this legacy, probably because of a soil legacy-induced reduction in plant size (Bezemer et al., 2006). Negative plant-soil feedback is generally seen as a result of the accumulation of pathogenic organisms (Nijjer et al., 2007; Van der Putten et al., 2013), and the effects observed in ragwort and their associated aboveground insects are likely caused by belowground pathogens (e.g. Van de Voorde et al. 2012). Another field study with the same plant species, found a positive correlation between the occurrence of seed feeding insects and colonization of ragwort roots by mycorrhizal arbuscules (Reidinger et al., 2012). These results indicate that soil legacies, most likely driven by soil organisms, can play a role in shaping plant-insect interactions in the field. We have not been able to identify any manipulative studies that have, thus far, investigated plant-insect interactions in a plant-soil feedback framework. However, numerous studies have investigated the effects of the experimental manipulation of various groups of soil organisms on aboveground plant-insect interactions, and this area is discussed in more detail below.

### 4. Soil biota-plant-insect interactions in the field

**Bacteria**

Bacteria are a dominant group of organisms in the soil that can have strong effects on plant growth and quality. For example, nitrogen-fixing rhizobia that associate with leguminous plant species fix atmospheric nitrogen and thereby often increase nitrogen content in the plant tissues. On the other hand, plant-growth promoting rhizobacteria (PGPR) are known to have yield enhancing effects on plants, but also are known to induce systemic resistance by priming plants for the activation of defense pathways, which often results in negative effects on insect herbivores in controlled studies (Pineda et al., 2010).

**The effect of nitrogen-fixing rhizobia on aboveground herbivores**

One would expect that the increased plant quality resulting from plant mutualisms with nitrogen fixing bacteria would benefit aboveground insects. However, this is not necessarily the case, as rhizobia have been shown to also affect plant defence responses directly (e.g. Thamer et al., 2011) and indirectly (Godschalx et al., 2015). The latter is illustrated by a study with potted plants placed in the field that reported positive effects of the addition of *Rhizobium* sp. on plant protein levels in Lima bean, *Phaseolus lunatus*, but negative effects on extrafloral sugar content. This, in turn, led to 75 percent lower visitation numbers of the associated mutualist ant *Tetramorium caespitum*. Ants can act as natural enemies of herbivores and this study suggests that rhizobia can interfere with this indirect plant defense mechanism. In the presence of rhizobia, cyanogenesis (a chemical defense in legumes) is increased, and this may reduce the need for the plant to produce extrafloral nectar to attract ants (Godschalx et al., 2015).

**The effect of plant growth-promoting rhizobacteria on aboveground herbivores**

Plant-mediated effects of the addition of PGPR on aboveground insects in the field are consistently negative in the studied systems. All interactions (n=17) revealed from the literature
search were negative for the aboveground herbivore, regardless of the insect feeding guild (Figure 2A, Supplementary Table 1, Zehnder et al., 1997; Commare et al., 2002; Saravanakumar et al., 2008; Gadhave et al., 2015). For instance, the addition of four different *Pseudomonas fluorescens* strains (individually, as well as in mixtures) to rice fields in India resulted in a ~3 fold reduction of leaf rolling by the rice leaf roller *Cnaphalocrocis medialis* (Commare et al., 2002; Saravanakumar et al., 2008). These effects are most likely driven by ISR, as plants generally express higher levels of defense gene transcription after exposure to herbivory in plants that received bacterial treatments (Saravanakumar et al., 2008; Prabhukarthikeyan, Saravanakumar & Raguchander, 2013).

### The effect of plant growth-promoting rhizobacteria on aboveground natural enemies

Inoculation with PGPR can also influence the performance or attraction of insects at higher trophic levels, such as predatory insects or parasitoids (Saravanakumar et al., 2008; Gadhave et al., 2015). It is difficult to elucidate clear patterns as from all interactions (n=18), 50 percent reported negative effects while 44 percent of the studies reported positive effects (Figure 2A, Supplementary Table 1). For example, a study investigating the effects of inoculation with *Bacillus* spp. on field-grown broccoli (*B. oleracea*) reported consistently reduced numbers of the ladybug (*Coccinella septempunctata*) and various unidentified syrphid flies on plants that received bacterial inoculations, compared to control plants that did not receive additional bacteria (Gadhave et al., 2015). However, in the same study, the authors found that the percentage of cabbage aphids (*B. brassicae*) parasitized by the parasitoid wasp *Diaraetiella rapae* was two to three times higher in plants grown on soils treated with *Bacillus cereus* and *B. subtilis*, but not in those treated with *B. amyloliquefasciens* or a mixture of the species (Gadhave et al., 2015).

### Fungi

Soil fungi are a diverse group of organisms and their role in above-belowground interactions has been studied for many years. The most studied taxa are mycorrhizal fungi that associate with the majority of plant species. Ectomycorrhizal fungi (EMF) generally form mutualistic bonds with trees, whereas AMF form mutualisms with plants throughout the plant kingdom. EMF have been poorly studied within the soil biota-plant-insect framework and hence they are only briefly discussed. Relationships between AMF and aboveground insects, mediated by plants, are commonly reported in literature, and these effects have already been summarized in various other reviews (e.g. Pozo & Azcon-Aguilar, 2007; Gehring & Bennett, 2009; Hartley & Gange, 2009; Jung et al., 2012) and a meta-analysis (Koricheva et al., 2009).

### The effect of ectomycorrhizal fungi (EMF) on aboveground herbivores

Studies on the influence of EMF on plant-insect interactions are limited, but the published reports suggest that they can also affect insects in different directions. One study showed that numbers of the sap sucking poplar aphid *Chaitophorus populicola* were five times higher on poplar trees (*Populus angustifolia x P. fremontii*) that were treated with the EMF *Pisolithus tinctorius* than in controls that did not receive EMF. However, another study showed that various insects, even of the same feeding guild, respond differently to EMF in the same study and more importantly, results differ strongly between the various methodologies used (Gange et al., 2005), as will be discussed in more detail further onwards in this review.
The effect of arbuscular mycorrhizal fungi (AMF) on aboveground herbivores

A general pattern that has emerged from controlled studies is that AMF negatively influence generalist chewers, while specialist chewers are positively affected by AMF (Koricheva et al., 2009; Hartley & Gange, 2009). From the interactions with generalist chewing herbivores revealed by our literature search (n=8), 75 percent reported no effect and 25 percent reported negative effects of AMF on generalist chewers (Figure 2B, Supplementary Table 2, Gange & West, 1994; Vicari et al., 2002) or herbivore diversity (Guo et al., 2015) in the field. For example, in a field study on ribwort plantain, Plantago lanceolata, caterpillars of the highly polyphagous woolly bear moth, Arctia caja, were 25% smaller in plots with AMF than in plots with AMF removed (Gange & West, 1994). On the other hand, from the interactions with specialist chewers (n=6) 83 percent report neutral (Younginger, Barnouti & Moon, 2009), and 17 percent reported a positive plant-mediated effect on specialist chewers (Figure 2B, Supplementary Table 2, Barber et al., 2013). Plant-mediated AMF effects on chewing herbivores also differ between different plant functional groups. A recent study showed that AMF presence increased total levels of herbivory in tallgrass prairie plots, but at the plant functional group level herbivory levels only differed between AMF and control plots for C3 grasses, but not for C4 grasses or forbs (Kula & Hartnett, 2015).

In controlled studies, sap sucking insects generally benefit from the presence of AMF and the degree of specialization of the sap sucking insects does not appear to influence the effects of AMF (Koricheva et al., 2009; Hartley & Gange, 2009). From the interactions revealed from our literature search (n=7), 43 percent were neutral (Collela et al., 2014) and 57 percent reported positive plant-mediated effects of AMF on sap suckers (Figure 2B, Supplementary Table 2, Gange & West, 1994; Ueda et al., 2013). For example, a recent field study reports more than tenfold higher numbers of Aulacorthum solani on soybean (G. max) inoculated with Gigaspora margarita, than on untreated control plants (Ueda et al., 2013), which is in line with the commonly observed patterns in controlled studies. Only one study reports that treatment with AMF led to two- to three-fold lower numbers of the poplar aphid Chaitophorus populicola on poplar trees, Populus angustifolia x P. fremontii that were placed in pots in the field (Gehring & Whitham, 2002). Why aphids responded negatively in this study is hard to pinpoint. The authors report no significant effects of AMF on plant performance, but they did not investigate effects on plant chemistry, which may have changed in response to the AMF interaction. AMF effects on plant-insect interactions may also differ among plant functional groups. Most previous studies have been performed with herbaceous species, thus studies on woody shrubs and trees may give contrasting results.

As discussed in Koricheva et al. (2009), patterns in AMF-plant-insect effects on insects belonging to feeding guilds other than leaf chewers and sap suckers, such as cell content feeders and leaf miners, are not straightforward to interpret. However, addition of AMF to plants in the field had neutral (Gange, Brown & Aplin, 2003; Gange et al., 2005; Collela et al., 2014) to positive effects on cell-content feeders, leaf miners and gall makers in several studies (Gange, Brown & Aplin, 2003; Younginger, Barnouti & Moon, 2009; Moon, Barnouti & Younginger, 2013; Ueda et al., 2013). Within the same study system, results may even vary between generations of insects. For instance, when AMF levels were reduced using iprodione, this did not at first affect proportions of leaves mined by the leaf-mining fly Chromatometria syngenesiae in ox-eye daisy, Leucanthemum vulgare (Gange, Brown & Aplin, 2003). However, in a follow-up study, the authors report AMF species-specific differences in the proportion of Leucanthemum.
leaves mined by *C. syngenesiae*, and a 50 percent increase in pupal biomass of the leafminer in plots with higher levels of AMF. These significant effects were only found for the second generation of flies in the year of study (Gange, Brown & Aplin, 2005).

**The effect of arbuscular mycorrhizal fungi (AMF) on aboveground natural enemies**

Several studies have incorporated higher trophic levels in the study of AMF-plant-insect interactions and in all of the studied interactions (n=5) AMF presence had a negative effect on the performance or density of predatory insects (Ueda et al., 2013) or parasitoids (Moon, Barnouti & Younginger, 2013; Gange, Brown & Aplin, 2003). In one study on Sea myrtle, *Baccharis halimifolia*, parasitism rates of two species of co-occurring leafminers (*Amauromyza maculosa* and *Liriomyza trifolii*, respectively) and a gall making fly (*Neolasioptera lathami*) by parasitoid wasps were all negatively affected by AMF application (Moon, Barnouti & Younginger, 2013). AMF colonization resulted in more leaves per plant, which also had higher nitrogen levels, subsequently leading to healthier and potentially more strongly defended hosts, negatively affecting the respective parasitoids (Moon, Barnouti & Younginger, 2013).

**The effect of arbuscular mycorrhizal fungi (AMF) on aboveground pollinators**

AMF-plant interactions can have contrasting effects on pollinating insects in the field. From the interactions revealed by our literature search (n=35), 34 percent were were positive, 17 percent were negative and 49 percent reported no effects on pollinators (Figure 2B, Supplementary Table 2). Several studies report higher pollinator visitation or flower probing on plants that received AMF treatment (Wolfe, Husband & Klironomos, 2005; Gange and Smith, 2005; Cahill et al., 2008, Barber et al., 2013), whereas others report neutral or negative effects on pollinator visitation (Varga & Kytöviita, 2010). It is important to notice that effects of soil organisms on pollinating insects can vary between different levels of measurement (e.g. plot/community/species/pollinator taxa level). For example, in one study, levels of AMF were reduced by application of benomyl and the effects of AMF on six common forb species were investigated (Cahill et al., 2008). At plot level, plots with natural AMF levels showed an overall 67% higher number of pollinator visits per flowering stem, whereas the total number of visits per plot was not affected. AMF associations also led to a three-fold higher visitation by large-bodied bumblebees and a three-fold decrease in visitation by small-bodied pollinators such as bees and flies. At the plant species level, *Aster laevis* and *Solidago missouriensis* showed two to four times higher numbers of floral visits by pollinators in plots with higher AMF levels, whereas *Cerastium arvensis* showed a 80 percent decrease in total pollinator numbers in plots with higher AMF levels. Pollinator visitation of the herbs *Achillea millefolium*, *Campanula rotundifolia* and *Erigeron philadelphicus* was not affected by soil AMF levels (Cahill et al., 2008). More studies are needed to elucidate patterns for plant-mediated effects of AMF on pollinators in the field.

**Nematodes**

Nematodes are important soil dwelling organisms that belong to a range of trophic groups in the soil food web, and include bacterial feeders, fungal feeders, root feeders, and predators/carnivores. Their effect on host plants has been studied intensively, although fewer studies have focused on the indirect effects of nematodes on aboveground insects (reviewed in Wondafrash et al., 2013). As the literature search for field studies only revealed studies of plant-parasitic nematodes on aboveground insects, only this group will be discussed here. It should be noted that other nematodes (e.g. fungal feeders, bacterial feeders) may, however, also indirectly
affect plant-insect interactions by interacting with other soil organisms. Plant-parasitic nematodes, by feeding on the roots of shared host plants, can influence the defense status and nutritional quality of host plants, potentially leading to effects on herbivores (Bezemer & Van Dam, 2005; Bezemer et al. 2003; Wondafrash et al., 2013; Biere & Goverse, 2016). Results from laboratory studies of the effects of plant-parasitic nematodes on aboveground insects are often variable for chewing insects, but generally show negative effects on either the performance or preference of sap sucking insects (Johnson et al., 2012; Wondafrash et al., 2013). As the number of field studies on plant-parasitic nematodes that describe effects on insect herbivores is rather low, we will treat plant-parasitic nematodes (PPNs) with different life styles (free-living, endoparasitic) as one group, and describe their effects on different types of insect herbivores. No studies that incorporated higher trophic levels or pollinating insects have been identified and therefore these are not discussed here.

**The effect of plant-parasitic nematodes on aboveground herbivores**

From the interactions revealed from our literature search (n=10), 60 percent report neutral (e.g. Carter-Wientjes et al., 2004; Kaplan, Sardanelli & Denno, 2009; Guo et al., 2016) and 40 percent report positive effects of PPNs on aboveground chewing herbivores (Figure 2C, Supplementary Table 3, Alson et al., 1991, Kaplan, Sardanelli & Denno, 2009; Vockenhuber et al., 2013). For example, the addition of the root-knot nematode, *Meloidogyne incognita* to tobacco (*Nicotiana tabacum*) in field plots did not affect numbers of the specialist tobacco hornworm, *Manduca sexta*, or the growth of the generalist beet armyworm, *Spodoptera exigua*. In contrast, in the same experiment, nematode-treated plants had 30 percent higher numbers of chewing *Epitrix* flea beetles than untreated plants (Kaplan, Sardanelli & Denno, 2009). Although correlative data should be interpreted with caution as they do not imply causation, numbers of free-living PPNs were also positively related to the levels of leaf consumption by chewing herbivores, although the observed correlations for PPNs were not significant for the three most abundant nematode genera *Tylenchorhynchus*, *Pratylenchus* and *Xiphinema* (Kaplan, Sardanelli & Denno, 2009).

From the interactions revealed from our literature search for nematode effects on sap suckers (n=6), 50 percent reported no effects (e.g. Vandegehuchte, De la Peña & Bonte, 2010; Heeren et al., 2012) and 50 percent reported negative effects (Figure 2C, Supplementary Table 3, Kaplan, Sardanelli & Denno, 2009). In soy bean fields, *G. max*, the presence of the nematode *H. glycines* did not correlate with total aphid abundance in one study (Heeren et al., 2012), but was negatively correlated with the number of alates of the soy bean aphid *Aphis glycines* at the onset of the peak season in another study (Hong, MacGuidwin & Gratton, 2011). It is important to note that in the former study, plant yield was also not affected, whereas yield also negatively correlated with the number of nematode eggs in the latter (Hong, MacGuidwin & Gratton, 2011; Heeren et al., 2012).

**Soil arthropods**

A relatively large number of studies have examined the effect of soil arthropods on aboveground plant-insect interactions. Soil arthropods are an abundant group of macro-invertebrates that can affect plants either directly, via root herbivory or indirectly, via decomposition of organic material. Although an increasing number of studies report on mechanisms through which root herbivory might impact aboveground plant-insect interactions (e.g. reviewed in Soler et al., 2012; Barber & Gorden, 2014), most reviews remain inconclusive about the drivers behind the
effects that are often observed. A meta-analysis showed that root herbivory by Diptera generally
results in significantly negative effects on aboveground herbivores (Johnson et al., 2012),
whereas herbivory by Coleoptera influences only aboveground Homoptera (positively) and
herbivorous Hymenoptera (negatively), but has no significant effect on other groups.

The effect of root herbivores on aboveground herbivores

From the interactions revealed by our literature search for root herbivore effects (regardless of
taxa) on aboveground chewing herbivores (n=20), 55 percent reported no effects, 10 percent
reported positive effects and 35 percent reported negative effects.

Several studies in the 1990’s investigated the effects of root herbivores on aboveground insects
by means of reducing the total densities of soil arthropods with insecticides. In all of these
studies, natural densities of soil arthropods had either no influence (Evans, 1991) or led to an
increase (Evans, 1991; Masters, Brown & Gange, 1993; Masters, 1995; Masters, Jones &
Rogers, 2001) in aboveground herbivory. As there is little specificity in insecticide treatments, it
is impossible to disentangle the effects of different soil arthropod taxa on plant-insect
interactions from these older studies. Yet, they shed some light on the role of soil arthropods in
shaping plant-aboveground insect interactions.

In field studies, plant-mediated effects of coleopteran root herbivores on aboveground chewing
herbivores can be neutral (Hunt-Joshi & Blossey, 2004; Barber et al., 2015; Borgström et al.,
2017), positive (Wurst et al., 2008), or negative (White & Andow, 2006; Wurst et al., 2008;
Megías & Müller, 2010, see Figure 2D, Supplementary Table 4). Interestingly, on ribwort
plantain, Plantago lanceolata that were exposed to belowground herbivory by Agriotes sp.,
aboveground herbivory levels were three times lower on a high-iridoid glycoside (secondary
defense metabolites in Plantago) producing lineage, compared to controls without root
herbivores. In contrast, herbivory levels were nine times higher in response to the root herbivore
on a low iridoid glycoside lineage (Wurst et al., 2008). This study illustrates that the genetic
background of a plant can play an important role in determining plant-mediated effects of root
insect herbivores on aboveground chewing insect herbivores. Although a meta-analysis (Johnson
et al., 2012) concluded that dipteran root herbivores generally have negative plant-mediated
effects on aboveground herbivores, there is no consistent support from field studies for this (see
Figure 2D, Supplementary Table 4). For example, Cabbage root fly, Delia radicum negatively
affected numbers of chewing Phyllotreta sp. leaf beetles (this genus comprises mostly specialists
and oligotrophs) in potted black mustard (Brassica nigra) in an experimental garden (Soler et al.,
2009), but the addition of root flies had no plant-mediated effect on any lepidopteran chewers
(Soler et al., 2009; Pierre et al., 2013).

There seems to be no pattern for the plant-mediated effects of coleopteran root herbivores on sap
suckers in the field. From the interactions revealed by our literature search (n=22), 54 percent
reported no effects, compared to 23 percent that reported positive effects and 23 percent that
reported negative effects (see Figure 2D, Supplementary Table 4). One study reports positive
effects of root herbivory by coleopteran herbivory on aboveground sap suckers (Poveda et al.,
2005). However, in other studies, the addition of coleopteran root herbivores had either no effect
(Megías & Müller, 2010) or negative effects on sap suckers (Megías & Müller, 2010; Ryalls et
al., 2016). For example, addition of larvae of a combination of the two beetle species Morica
hybrida and Cebrio gypsicola on Moricandia moricandioides resulted in a more than three times
lower number of aphids on the shared host plant, compared to controls. Similarly, in the same
study, the addition of soil organisms resulted in a decrease in the total number of unidentified aphids on the plants, compared to controls, whereas the total number of planthoppers was not affected by the treatment with only C. gypsicola, but were 30 percent lower on plants that received only M. hybrida (Megías & Müller, 2010). This result could be driven by the fact that the latter is largely detritivorous and, thus, these two coleopteran soil arthropods may affect plant physiology in different ways. There is also no consistent effect of dipteran root herbivores on sap sucking herbivores in the field. Plants treated with root herbivores were found to have increased numbers of specialist aphid Brevicoryne brassicae (Pierre et al., 2013) and decreased numbers of the same species in another study (Soler et al., 2009). Numbers of the generalist aphid Myzus persicae were not affected by the presence of root herbivores in either of the two studies (Soler et al., 2009; Pierre et al., 2013).

As we identified only one study that described the effect of root herbivores on other feeding guilds, it is not possible to elucidate patterns. In this study, the abundance of the leafminer Stephensia brunnichella was 30 percent lower on Wild basil, Clinopodium vulgare plants that were infested with wireworms, Agriotes spp. than on controls without herbivores, whereas the size of the herbivores remained unaffected by the treatments (Staley et al., 2007).

**The effect of root herbivores on aboveground natural enemies**

The number of studies that have examined the effects of root-feeding insects on aboveground natural enemies in the field is limited. The available reports suggest that the presence of root feeding herbivores may have little effect on aboveground natural enemies in the field (e.g. Soler, 2009; Megías & Müller, 2010). Evans (1991) reported that soil arthropod reduction did not affect abundance of unspecified parasitic Hymenoptera, Arachnida and unspecified predatory and entomophagous insects in experimental field plots. In contrast, Megías & Müller (2010) found higher levels of parasitism by the braconid parasitoid Cotesia kazak in larvae of two pierid butterflies, E. crameri and P. daplidice, when soil dwelling larvae of the tenebrionid beetle M. hybrida were present in potted M. moricandioides plants. It is important to note that this beetle species is largely detritivorous and therefore may not directly affect plants, but its presence may influence plant-insect interactions by making nutrients available in the soil that may affect physiological processes in the plant.

**The effect of root herbivores on aboveground pollinators**

The literature is inconclusive on the plant-mediated effects of root herbivores on pollinators. Soil arthropods often cause association-specific effects on their host plants, ranging from changes in flower number to flower size and nectar quality, which all may influence different types of pollinating insects (Barber & Gorden, 2014). Likewise, there is no evident pattern for field studies (Figure 1D, Supplementary Table 4). Three studies investigated the effects of addition of root herbivores on pollinator visits in the field. In all cases, the plants were in pots in the field and the treatment was an addition of coleopteran root herbivores. Addition of wireworms, Agriotes spp. to charlock mustard, Sinapis arvensis consistently resulted in an increase in total pollinator visits (Poveda et al, 2003; Poveda et al., 2005). However, in another study using cucumber plants, C. sativus, addition of larvae of the striped cucumber beetle, Acalyymma vittatum resulted in half the number of pollinator visits, compared to untreated controls and pollinator visits showed a negative relationship with root herbivore density (Barber et al., 2015).

5. **Methodology determines the outcome of field experiments**
Although similarities between controlled studies and field studies can be found for some soil taxa, the field literature also shows considerable variation in responses and neutral effects are commonly observed for soil biota-plant-insect interactions. This may be at least partly due to the experimental methodologies applied in the field. Three main methodologies are widely applied: 1) Addition of soil organisms to potted plants that are placed in experimental outdoor areas; 2) Addition of soil organisms to plants that are grown in field plots; 3) Removal of specific soil organism taxa by application of pesticides (see Figure 3). Direct comparisons between potted plants and field grown plants were made in two studies. For instance, in Marram grass, presence of a PPN of the genus *Heterodera* had a negative effect on the aboveground aphid *Schizaphis rufula* in pots, but in the field this correlation was not significant (Vandegehuchte, De la Peña & Bonte, 2010). In another study, when *Eucalyptus* trees were grown in pots in the field, addition of EMF had a negative effect on feeding by larvae of the chafer *Anomala cupripes*, but for trees growing directly in the field, no effect on chafer feeding was observed. Damage by geometrid moths was significantly increased under EMF treatment in the potted plants, whereas it was decreased in the field-grown *Eucalyptus*. However, the EMF treatment led to a reduction in leaf folding by *Strepsicrates* sp. in both potted plants in the field and in field-grown plants (Gange et al., 2005). These two studies clearly illustrate that choice of methodology used in field experiments can strongly influence the outcome, and suggests that studies using potted plants are more likely to show significant effects of belowground organisms on aboveground insects than studies that examine plants grown directly in the soil in the field. This also emphasizes the need for standardized methodologies, in order to make comparisons between different field studies more powerful.

Interestingly, there is a strong difference between effects reported for the different methodologies among the studies compiled in this literature review (see Table 1). In the published literature, only for the taxa soil fungi and soil arthropods were there reports on all three methodologies used in the field (see Figure 3). When we compare methodologies within these two taxa, potted plant studies and field removal studies more often reported significant results (in either direction) than studies where soil organisms were added to field plots. For example, in the studies with fungi, 63% of the interactions studied in pots showed a significant plant-mediated effect (in either direction) on aboveground insects. Field removal studies also showed a significant plant-mediated impact in 73% of the studies, but only 25% of the field addition studies showed significant effects (see Table 2). A similar pattern emerges for the manipulation of soil insects. Here, 64% of the studied interactions resulted in significant plant-mediated effects on insect herbivores in pot experiments. Field removal studies showed significant plant-mediated effects in 70% of the studies, compared to only 33% in the field addition studies (see Table 2). These numbers suggest that there is a strong effect of methodology applied in the field, although it should be noted that publication bias may have also led to a bias towards studies that report significant results and in reality, the fraction of studies that report significant effects may be lower.

The use of pots comes with a range of disadvantages that may affect the study system, especially so in the field. First of all, studies often use sterilized soil or steamed potting soil, which excludes the interactions with resident soil organisms. Furthermore, pots not only impose a barrier to the root system, but also to the movement of the study organisms. Moreover, it prevents the influx of other soil organisms. Although pots may have the advantage of ensuring that the soil organisms are present at the root system, this methodology may be highly artificial compared to field plots.
The barrier also inherently limits plant growth (i.e. pot limitation), leading to changes in plant growth and physiology (Poorter et al., 2012), which may either be beneficial or detrimental to insect performance. Lastly, abiotic conditions in pots can be quite different from conditions in soil. Placing pots (often of dark color, which absorbs more energy) on top of the soil, may increase soil temperature in the pot under warm conditions. Moreover, they may cool down more rapidly under cold conditions. We propose that pots can be extremely useful in studying soil organisms, both in laboratory and field conditions, but that they should be used with caution and that abiotic constraints should be countered as much as possible (for example by burying the pots, using large enough pots and including live soils into the design).

The use of pesticides in field experiments was a common approach in the early years of the development of this niche in ecology. However, this also comes with many obvious disadvantages. Several studies have shown that, although the pesticides are often rather specific and indeed reduce target organisms, there are also undesirable side-effects that influence many other soil processes (e.g. Wang et al., 2004). We propose that addition of soil organisms to field plots may be the best methodology, as this allows for interactions of both the added soil organisms and the plant with resident soil communities. From an applied perspective, results from soil organism addition studies are perhaps also the most useful as these scenarios are most comparable to application of soil organisms (e.g. in Integrated Pest Management). However, it is very hard to standardize both the abiotic and biotic conditions of live field soils, and this can lead to considerable variation between or even within study sites. Introduced soil organisms may encounter antagonists, or effects may be ‘diluted’ as field plots often do not have barriers and organisms may move away.

6. Discussion and future directions

In this review we have explored the scientific literature that discusses the effect of biotic manipulations of the soil on aboveground plant-insect interactions in the field. First, we asked if there is a role for soil organisms in shaping aboveground plant-insect interactions under field conditions. We searched the literature for studies that report on manipulations of the whole soil microbiome and how changes in soil community composition may affect aboveground insects in the field. It appears that there is ample evidence for effects of changes in whole soil communities on insect assemblages, but these findings are all correlative, not causative. This immediately highlights a first gap in the current scientific knowledge; how biotic ‘soil legacies’ or plant-soil feedback (PSF) effects may influence aboveground insect communities in the field. To our knowledge, no studies thus far, have assessed these effects in a field setting. This is an important aspect of above-belowground ecology that deserves more attention in the future. We argue that introducing the PSF concept as a fourth applicable field method to shift soil communities in a certain direction would be less disruptive than the commonly used methodologies and would incorporate more ecological realism.

Our second question was whether the manipulation of specific taxa in the soil has the same effects on aboveground insects in the field as under more controlled conditions in greenhouses or growth chambers. Our survey indicates that this is true for most taxa except for soil arthropods. Bacterial inoculation in the field generally promotes plant growth and depresses abundance and performance of insects in the field, as they do in laboratory studies (e.g. Pineda et al., 2010). For AMF, the effects observed in laboratory settings have been thoroughly reviewed (Gehring & Bennett, 2009; Koricheva et al., 2009; Hartley & Gange, 2009) and the general patterns differ for...
insects from different feeding guilds and depend on the degree of specialization of the insects. Field studies, we show, report similar patterns; AMF negatively influences generalist chewers, but positively affect specialist chewing insects. AMF also generally benefit sap-sucking insects, regardless of their specialization. Under field conditions, nematodes affect chewing herbivores positively and sap suckers negatively and this is also in line with the general observations in laboratory studies (Wondafrash et al., 2013). Patterns in the effects of soil arthropods are less straightforward. In the current review of field literature, we have not been able to observe a clear pattern. One of the reasons for this could be the variation in abiotic and biotic conditions in the reported study systems. Furthermore, often only very few interactions are studied for each combination of taxa (both below and aboveground). Therefore there is currently a lack of relevant data and this makes it hard to compare the different results more thoroughly, e.g. in a meta-analysis. The same problem arises when we attempt to elucidate patterns for less abundant feeding guilds (such as leaf miners, gall makers or stem borers) or natural enemies and pollinators. Very few studies, so far, have investigated the effects of soil organism manipulations in the field on these less apparent aboveground feeding guilds and this is an area that requires further attention in order to better understand patterns in soil arthropod-plant-insect interactions.

Although we observed similarities between field and laboratory studies, in the field, it is also important to note that a relatively large fraction of the studies that we detected reported neutral effects. We suggest that field methodology can drastically affect the outcome of above-ground studies and that ecologists should be aware of this when designing experiments. Although there is a current lack of studies that compare the different field methodologies directly, the pattern is rather clear. In the case of pot experiments and removal experiments in the field, the likelihood of observing a statistically significant effect of any kind, are twice as high as those in field addition experiments. However, we argue that the latter is, to date, by far the most realistic and useful methodology to understand ecological processes. Clearly, there are opportunities to explore alternative ways to manipulate soil organisms, or steer soil communities in specific directions. For example through manipulation of soil via plant-soil feedback mechanisms where soils are manipulated in the field by plant species with specific effects on soil communities, or by inoculation of plots with soils that have been conditioned by specific plant species. Moreover, soil organisms can be manipulated via exclusion methods using variable mesh sizes that exclude certain soil taxa based on their sizes (e.g. Johnson et al., 2001; 2002), or via the addition of antagonistic organisms, that can impact specific groups of soil organisms.

Four aspects of the field of above-belowground ecology deserve further development. First, the response of insect species from less apparent feeding guilds (such as gall makers, stem borers, leaf miners and cell content feeders) has often been overlooked so far. In order to further elucidate patterns and more fully understand the ecological role of soil organisms in shaping plant-insect interactions, we need to use a more holistic approach that takes into account players from a broader range of guilds and trophic levels. Responses of natural enemies and pollinators aboveground have been studied infrequently, and are completely missing for certain types of soil manipulations, or soil taxa. The life history of the various natural enemies is quite diverse and their responses to soil biota-plant interactions may vary. Parasitoids and other flying natural enemies may respond more quickly than wingless, cursorial predators like spiders. Furthermore, parasitoids are affected by changes in the quality of their herbivore hosts, as their life cycles intimately depend on host ecophysiology (e.g. MacKauer, 1996; Harvey, 2000; Harvey et al., 2004). Moreover, when we searched for studies in the scientific literature, we could not detect
any that focused on the effect of soil organisms, via plants, on interactions between plants and non-arthropod taxa, such as slugs, snails, but also higher vertebrates, such as grazers. As plants are the primary producers that support food chains, it is likely that other organisms will also be affected by belowground organisms.

Second, to increase our ecological understanding, it is important to also include more ecologically realistic model systems, as the current systems are often based on crops, as well as on insect species that are either crop pests or chosen for convenience, rather than based on ecological relevance (Chen et al., 2015). This could be accomplished, for example, by using a range of wild plant species that vary in functional traits, which could give better insight into what traits may predict certain plant responses. Studying their natural associated insect communities may also increase our understanding of which traits are important in mediating soil biota-plant-insect interactions. Future work could fill in these important gaps in our current knowledge.

Third, more emphasis should be placed on the role of time and space in these aboveground-belowground interactions in the field. It is currently unknown whether performing manipulations with the same soil organisms at different locations (e.g. differing in altitude and latitude, as well as abiotic conditions) will lead to differential effects on aboveground insects or not. Future studies should also focus on the temporal aspects of above-belowground interactions in the field. As soil communities are dynamic and species-specific soil communities accumulate over time (Diez et al., 2010; Van der Putten et al., 2013; Flory & Clay, 2013; Heinen et al., 2018), it is likely that these temporal dynamics will strongly influence the performance of aboveground insect communities over time. Various controlled studies have shown that the sequence of arrival of aboveground and belowground herbivores on the plant can greatly alter the outcome of soil biota-plant-insect interactions (e.g. Erb et al., 2011; Wang et al., 2014) and to some extent, this has also been shown in field studies (e.g. Gange, Brown & Aplin, 2005), although the link between temporally changing soil communities and temporal variation in aboveground insect communities has not been made. In the field, insect communities also change throughout the season. How soil treatments affect insects early compared to late in the season, and to what extent this is due to changes in plant-soil interactions or changes in plant-insect interactions is not known.

Fourth, most of the current research is focused on indirect effects that are mediated by shared host plants, but potential direct interactions should not be overlooked. There are various organisms, such as entomopathogens in the soil that can have direct impacts on aboveground insect performance. For instance, infection by entomopathogenic fungi, such as Beauveria bassiana and Metarhizium anisoplae can result in the quick death of many insect species (Meyling & Eilenberg, 2007; Vega et al., 2009; 2012), although its direct effects on aboveground insects in the field has been poorly documented. Interestingly, these fungi can also be endophytic in plants, and can influence both plant and herbivore performance (Meyling & Eilenberg, 2007; Vega et al., 2009; 2012; Senthilraja et al., 2010; Prabhukarthikeyan, Saravanakumar & Raguchander, 2013). Moreover, it has been shown for the fungus Metarhizium that it forms bridges between infected dead insects and plants, through which the fungus can provide the plant with extra nitrogen obtained from the insect bodies, which may also affect plant-insect interactions (Wang & St Leger, 2007; Sasan & Bidochka, 2012; Behie, Zelisko & Bidochka, 2012). Little is known about the extent to which aboveground insects pick up soil microorganisms and how this may affect their fitness, either through pathogenicity, or perhaps
mutualistic interactions (e.g. in the gut microbiome), leaving an important gap in our current knowledge.

We conclude that there is strong support for a significant role of soil organisms in shaping plant-insect interactions in the field. With the exception of soil arthropods, we find that most field studies report effects that are similar to those of laboratory studies. We argue that future studies should be carefully planned, as the methodology applied in the field strongly affects the chance of finding robust results. Nonetheless, there are ample opportunities to develop this research field further, especially in terms of exploring alternative and more realistic methods to steer soil biomes into a targeted direction. It should be emphasized that there is a large gap in our knowledge when it comes to less apparent insect herbivore taxa such as leaf miners, stem borers and others. There is virtually nothing known about the effects of soil organisms on a broad range of natural enemies (predators and parasitoids). However, as there are consistent reports of effects of soil organism addition in the field on aboveground insects, this opens up opportunities for the exploration of soil organism manipulation in agriculture or ecosystem restoration (e.g. Pineda et al., 2017). Some groups of soil organisms may be promising agents for crop yield enhancement and protection. Other groups of soil organisms may affect aboveground plant diversity at the community level and this gives rise to new opportunities to use soil organisms to ‘steer’ the development of aboveground vegetation (Wubs et al., 2016), which may then subsequently affect aboveground insect communities. A challenge is to disentangle the drivers of soil organism manipulation effects on insects in the field. This will be an important step towards understanding how belowground organisms drive aboveground insect abundance, diversity and impacts in the field.

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8. Author contributions

MB, RH conceived the idea for the literature review. MB, AB, RH designed the structural framework of the review. RH performed the literature study. MB, AB provided several additional references. RH wrote the first version of the manuscript. MB, AB, JH, RH contributed critically to later versions of the manuscript.

9. Conflict of interest statement

The authors declare no conflict of interest.

10. References


11. Figures

Figure 1:
Figure 2:

A

B

C

D

Arrow legend

Positive effects on insect performance/abundance
Negative effects on insect performance/abundance
Neutral effects on insect performance/abundance
No clear pattern on insect performance/abundance
No published field studies
Figure 3:

A: Potted plants in the field
B: Plants in the field
C: Plants in the field
12. Figure legends

Figure 1: A schematic overview of mechanisms through which soil organisms can affect plant phenotype and associated aboveground insects. Soil organisms can affect a variety of host plant traits, including nutritional quality and palatability, size, morphology and floral traits, as well as the activation of defense pathways and the emission of plant volatile organic compounds. Through these mechanisms they can influence insect herbivores, pollinators and natural enemies.

Figure 2: A schematic overview of the effects of A) plant growth-promoting bacteria, B) arbuscular mycorrhizal fungi, C) plant-parasitic nematodes and D) soil arthropods on the most frequently reported aboveground plant-insect interactions (interactions between plants and chewing and sap sucking herbivores, pollinators and natural enemies, respectively). In panel B; S=Specialist, G=Generalist. Arrows indicate plant-mediated effects of soil organisms on aboveground insects. Green arrows represent generally positive indirect effects on aboveground insects, red arrows represent generally negative indirect effects on aboveground insects, blue arrows represent generally neutral effects on aboveground insects. Yellow arrows indicate that effects are observed, but no clear patterns emerged and white arrows indicate that interactions have not been reported in literature. Percentages with the green, red and blue arrows represent the percentage of the total reported interactions that followed the pattern (sample size between brackets).

Figure 3: A schematic overview of the three most widely used methodologies to investigate soil biota-plant-insect interactions in the field. In this representation we used additions of wireworms, *Agriotes* spp. to Ribwort plantain *Plantago lanceolata* as an example. A) Potted plants, which are often grown in a greenhouse for a number of weeks, are placed in experimental fields or gardens after being treated with soil organisms. Interactions between the potted plants and natural herbivores or pollinators are then tested in the field. B) Plants are planted in the field under natural conditions, including a resident soil community. Soil organisms are added to plots and thus in the treated plots the numbers of added soil organisms are augmented, compared to untreated control plots. C) Plants are planted in the field under natural conditions, including a resident soil community. However, in this method, the soil organisms under investigation are reduced by means of application of a pesticide. Hence, the treated plots have reduced levels of soil organisms, compared to the control plots, which have natural (but higher) levels of the soil organism.
13. Tables

**Table 1:** Comparison of the three most widely used field methodologies in studies investigating above-belowground interactions (potted plants placed in the field, inoculation of soil organisms in experimental plots, species removal by means of pesticides in experimental plots). Shown are the total number of studies and the total number of organismal interactions for which relationships between soil organisms and aboveground herbivorous insects were investigated. The percentages were calculated for the studies that showed no significant effect on the herbivore, a significant positive effect on the herbivore or a significant negative effect on the herbivore. Only soil fungi and soil insect manipulation studies were included, since removal and pot studies were rare or non-existent in the other groups.

<table>
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<th>Method</th>
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<th>Percentage positive</th>
<th>Percentage negative</th>
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