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## **Paleolimnological records for tracking dam-induced changes in the composition and supply of sediment to middle Yangtze floodplain lakes**

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1 **Paleolimnological records for tracking dam-induced changes in the composition**  
2 **and supply of sediment to middle Yangtze floodplain lakes**

3

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**Abstract:** A global boom in dam construction has reduced sediment loading of large rivers, as well as their connected floodplains. In order to explore potential effects of hydrological regulation on floodplain ecosystems, this study presents concentrations of inorganic elements, organic matter content and grain size spectra in  $^{210}\text{Pb}$ -dated sediment cores of five lakes in the middle Yangtze River floodplain. These lakes had free hydrological connectivity with the Yangtze River before the operation of local sluice gates, and hence K-rich but Al-poor particulates from the upper Yangtze reaches can be transported into lakes during periods of high river discharge. After hydrological regulation, sedimentary K/Al ratios registered substantial decreases in the study lakes, probably due to the declining supply of fine-grained and K-enriched riverine particulates from the Yangtze River. In East Dongting Lake, a hydrologically open lake proximal to the Three Gorges Dam (TGD), the sharp decrease in K/Al ratio after 2003 mainly responded to declining sediment supply from the Yangtze River after the TGD operation. In addition, prolonged water retention time probably promoted aquatic production and greater deposition of organic matter, as indicated by recent increases in organic matter content in the upper strata of the dammed lakes. Taken together, sedimentary K/Al ratios provide essential information on effects of past hydrological changes on sediment supply and aquatic productivity over multi-decadal timescales in these floodplain lakes with scarce monitoring data. The impacts of dam construction during recent decades on sediment composition in middle Yangtze floodplain lakes might be widespread in other similar floodplains worldwide. Thus sedimentary records can be utilized to inform and direct floodplain management.

**Key words:** dam construction; inorganic elements; sediment source; riparian floodplains; lacustrine sediment

## 1. Introduction

During recent decades, dams and reservoirs have interrupted free-flowing rivers all over the world, resulting in sharp decreases in sediment fluxes into coastal areas. It is estimated that sediment fluxes of many large rivers (e.g., the Mississippi, Yellow, Indus, Yenisei and Yangtze rivers) have declined by ca. 60-90% during recent decades (Walling, 2006; Yang et al., 2018; Tian et al., 2021). Sediment dynamics have far-reaching influences on the geomorphology, ecology and hydrology of rivers and connected water bodies (Tockner et al., 2010; Dai et al., 2014; Yang et al., 2018). Previous studies mainly focus on alteration of sediment dynamics in river channels and deltas (Dai et al., 2014; Yang et al., 2018; Lai et al., 2021; Tian et al., 2021), while the impacts on riparian floodplains have been less explored (Heath and Plater, 2010; Latuso et al., 2017; Chen et al., 2019).

Floodplains can store water and sediment during periods of high river discharge, and supply water and sediment during low discharge periods (Wang et al., 2016). Given the profound effects of floodplains on riverine runoff and sediment dynamics (Hupp et al., 2015), it is crucial to explore sediment dynamics in riverine floodplains in response to hydrological regulation. In free-flowing rivers, high energy discharge can transport coarse-grained detritus into floodplains, with a corresponding impact on floodplain chemostratigraphy (Wolfe et al., 2006; Kay et al., 2021). In the Peace-Athabasca Delta, coarse-grained and magnetic-rich detrital sediments are generally associated with high-energy conditions (Wolfe et al., 2006). Similarly, a monitoring study in the Rhine River floodplain revealed that an increase in carbonate content was coupled to a concurrent decrease in the relative amount of siliciclastics during the flooding event (Berner et al., 2012).

Due to increasing hydropower dam construction during recent decades, regulation of river flow has become a major driving force for changes in sediment composition of floodplain lakes (Heath and Plater, 2010; Chen et al., 2016; Latuso et al., 2017; Zeng et al., 2018; Nie et al., 2022). For example, sedimentary records in two pans of the Pongolo River floodplain shifted towards finer minerogenic sediments that were characterised by high concentrations of P, Ca and Si after damming (Heath and Plater, 2010). In Catahoula Lake, a recent transition from alkaline sediments with high contents of K, Ca and Mg to acidic and cation-poor sediments was a response to hydrological modifications of the Mississippi River (Latuso et al., 2017). Therefore, chemostratigraphic fingerprints in floodplain deposits are capable of revealing the causes, timing and extent of hydroecological changes, especially for large rivers with scarce monitoring data (Berner et al., 2012; Grygar and Popelka, 2016; Lintern et al., 2016; Kay et al., 2021).

The alluvial plain of the Yangtze River is one of the largest floodplains in the world, covering a total area of more than 15,000 km<sup>2</sup> and supporting 598 lakes with a water area > 1 km<sup>2</sup> (NIGLAS, 2019). After the Late Glacial, the sea-level rise and high-water levels in the Yangtze River contributed to the formation of lakes in the Yangtze River floodplain during the Holocene (Xu et al., 2019). Hundreds of small oxbows and riverine type lakes have direct or indirect hydrological connectivity with the Yangtze River and its tributaries, which supply riverine detritus to riparian floodplains (Yi et al., 2006; Yang et al., 2016). Many lakes in the floodplain serve as internationally important wetlands for endangered species and migratory waterfowl, and nine lakes including East Dongting, Honghu and Wanghu have been designated as Ramsar Wetlands of International Importance (Ramsar Convention Bureau, 2022).

Owing to a boom in dam construction in the Yangtze River Basin since the 1950s, sediment fluxes of the Yangtze River have declined, especially after the Three Gorges Dam (TGD) began operation in 2003 (Yang et al., 2018; Tian et al., 2021). For example, the sediment flux has declined by more than 90% at the Yichang Station proximal to the TGD between the 1950s and the 2010s (Yang et al., 2018). Generally, gauging station records of past hydrological changes in the Yangtze River were not available before 1949. Therefore, past hydrological changes over multi-decadal timescales in the Yangtze River floodplain lakes are often inadequately captured by existing monitoring datasets. In particular, detailed information of environmental conditions before the dam construction is required to explore the effects of hydrological regulation on these ecologically and economically valuable lakes.

In order to explore the response of sediment composition to hydrological regulation, this study investigated stratigraphic variation in concentrations of inorganic elements (i.e., Al, Ti, K, Ca, Na and Mg), organic matter content and particle size spectra in  $^{210}\text{Pb}$ -dated sediment cores collected from five lakes in the middle Yangtze River reaches. Geologically, the upper Yangtze River reaches are dominated by carbonates (e.g., limestones and dolomites) and sedimentary rocks (e.g., Jurassic red sandstone in the Sichuan Basin), while the plains to the east downstream of Yichang are characterized by Quaternary alluvium (CWRC, 1999). Monitoring data reveal that suspended particulates in the upper Yangtze reaches are enriched in K, Ca, Na and Mg, but depleted in Al and Ti relative to those in the middle and lower reaches (Chen et al., 2002; Ding et al., 2013). Therefore, we hypothesize that sediments in the floodplain lakes would be depleted in K, Ca, Na and Mg after damming due to the declining detritus transfer from upstream. Furthermore,

prolonged water retention time after damming may promote aquatic production within the lakes and greater deposition of organic matter.

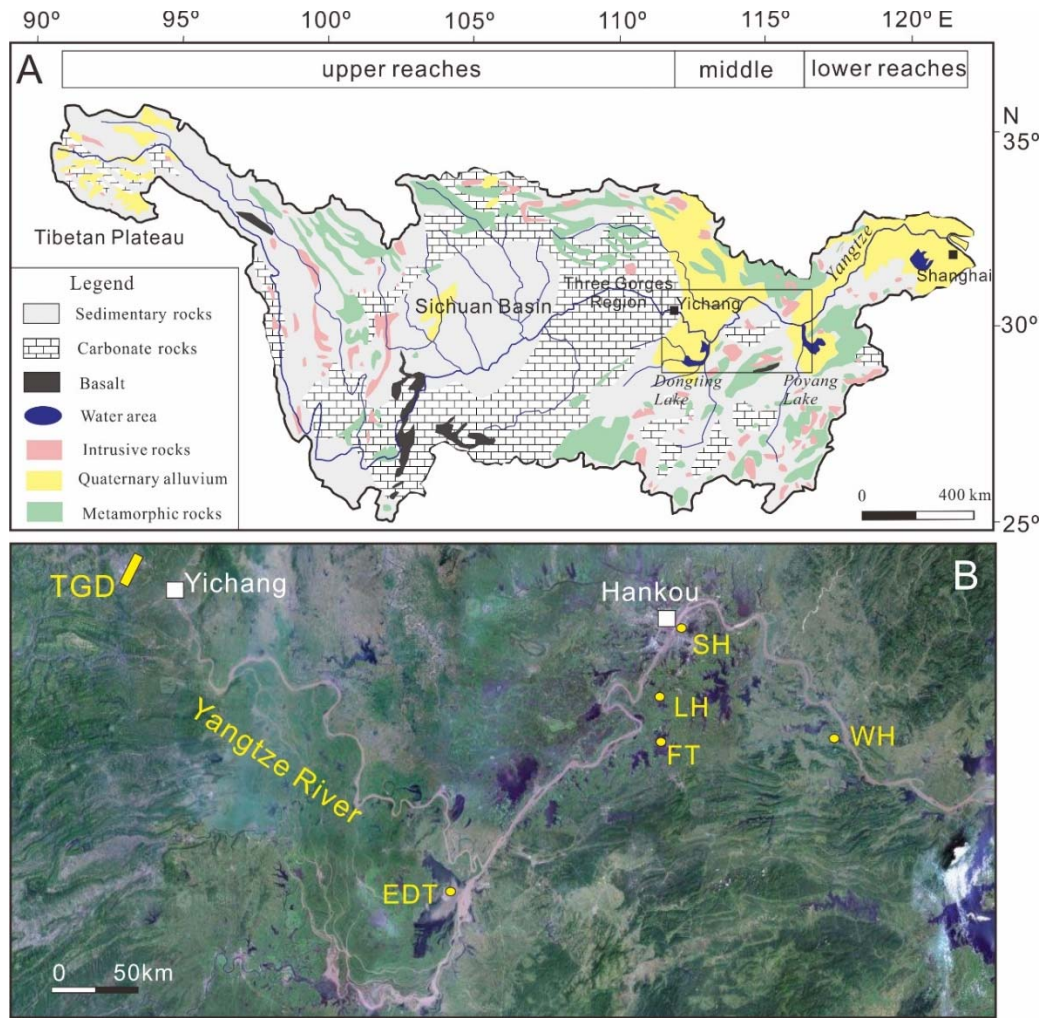
## **2. Material and methods**

### *2.1 Regional Setting*

Globally, the Yangtze River ranks third in terms of length (6300 km), ninth for catchment area ( $1.8 \times 10^6$  km<sup>2</sup>), fifth in water discharge ( $\sim 900$  km<sup>3</sup> per year) and fourth in sediment flux ( $\sim 500$  million tons per year before 1980) (Chen et al., 2001; Yang et al., 2016). The river is generally divided into the upper (from the headwaters to Yichang), middle (from Yichang to Hukou) and lower (downstream of Hukou) reaches (Fig. 1). The Yangtze River Basin is characterized by a monsoonal climate, with mean annual precipitation of  $\sim 1200$  mm and average annual temperature of 16–18 °C in the middle reaches. During the summer, the Asian monsoon brings heavy rains to this region, while winters are relatively dry. At Yichang and Hankou stations, annual runoff volume showed no obvious changes since records began in 1950; however, sediment discharge declined markedly after the mid-1980s (CWRC, 2003–2015).

The Yangtze River flows through evaporites in the Tibetan Plateau and Jurassic Red sandstone in the Sichuan Basin and then traverses the carbonate-rich mountains in the Three Gorges Region before descending into the Yangtze floodplain to the east (Fig. 1). The middle Yangtze River reaches are characterized by lowland floodplains, which encompass a number of ecologically and economically valuable lakes and wetlands (NIGLAS, 2019). Generally, precipitation in the middle Yangtze River reaches is highest between April and June, but the peak is later in the upper reaches (between July and September) (Chen et al., 2001). As a consequence, high lake levels

between April and June cause water and sediment of lakes to discharge into the main channel, while lakes act as sinks for water and sediment from the Yangtze River when the main channel flow rises between July and September (Yi et al., 2006; Guo et al., 2012; Zeng et al., 2018). Since the mid-20th century, local sluice gates have been established to block flood pulses into lakes from the Yangtze River during the rainy season (Table 1). Hydrological regulation reduces the water level of these lakes in summer, but elevates the water level in winter, causing a decrease in annual water-level fluctuation (Wang et al., 2016).



**Figure 1** Main rock types in the Yangtze Basin (A), sampling sites and location of the five lakes and the Three Gorges Dam (TGD) in the middle Yangtze River reaches (B). Yellow filled circles represent coring sites of East Dongting (EDT), Futou (FT), Luhu



(LH), Shahu (SH), and Wanghu (WH) lakes. The geological map was modified from CWRC (1999).

Generally, annual water-level fluctuation is less than 5 m in hydrologically-regulated lakes, but is larger than 10 m in hydrologically-open lakes such as East Dongting Lake (Table 1). Due to the unique biodiversity, this region has been designated by the World Wildlife Fund for Nature (WWF) as one of the Global 200 priority ecoregions for conservation (Olson and Dinerstein, 1998). Increasing human disturbances from rapid population growth and economic development in the catchment have caused environmental degradation of these lakes, including surface area shrinkage, biodiversity loss and water quality deterioration (Du et al., 2011; NIGLAS, 2019; Nie et al., 2022).

**Table 1** Characteristics of the five study lakes in the middle Yangtze River reaches. Hydrological data are mainly cited from NIGLAS (2019) and Pu (1994).

Lake	East Dongting	Futou	Luhu	Shahu	Wanghu
Code	EDT	FT	LH	SH	WH
Latitude (°N)	29.28108	30.05471	30.24028	30.57005	29.87196
Longitude (°E)	112.8928	114.20685	114.19009	114.33647	115.31067
Core sampling date	13/1/2013	12/4/2014	25/4/2016	22/10/2011	29/5/2016
Core length (cm)	72	87	101	66	99
Lake area (km <sup>2</sup> )	2625	136.4	34.7	3.04	39.4
Water depth (m)	6.4	3.7	3.0	2.5	3.0
Minimum water level (m a.s.l.)	20.61	18.5	18.5	N.A.	15.8
Maximum water level (m a.s.l.)	32.11	23.88	22	N.A.	20.3
Mean water level (m a.s.l.)	25.11	22	21	19.6	17.0
Date of dam construction (CE)		1935, 1973	1935, 1967	1959	1967

## 2.2 Sampling and laboratory methods

Sediment cores from five lakes in the middle Yangtze River reaches, i.e., East Dongting (EDT), Futou (FT), Luhu (LH), Shahu (SH) and Wanghu (WH) lakes, were

169 extracted from the central part of each basin between 2011 and 2016 (Table 1 and Fig.  
170 1). All cores were collected using a gravity corer with an outer diameter of 60 mm.  
171 The length of sediment cores ranged from 61 to 101 cm. Sediments in the five lakes  
172 mainly consist of grey silty gyttja. Cores were immediately sliced on shore at 1-cm  
173 intervals, and samples were stored at 4 °C until analysed. Subsamples of each slice  
174 were allocated for multi-proxy analyses. Given the large uncertainties of extrapolating  
175  $^{210}\text{Pb}$  chronologies beyond the basal date, this study mainly focused on the  
176 sedimentary records in the upper strata (60 - 70 cm) of each core.

177 In order to establish the chronologies of the five sediment cores, radioactive  
178 isotopes of  $^{210}\text{Pb}$ ,  $^{226}\text{Ra}$  and  $^{137}\text{Cs}$  were counted in a gamma spectrometer using high-  
179 resolution, low-background and extended range energy hyper-pure germanium  
180 detectors (ORTEC, GWL-120-15). Spheroidal carbonaceous particles (SCPs) in the  
181 sediment cores were counted. The start of the rapid increase in SCPs corresponded to  
182 the major expansion in regional coal consumption (1970 CE), and hence serves as a  
183 reliable chronomarker (Chen et al., 2019). Sediment chronologies were calculated  
184 using the constant rate of supply model based on unsupported  $^{210}\text{Pb}$  activities (Appleby,  
185 2001). Final chronologies for most sediment cores were validated using the  
186 chronomarker of SCPs (1970 CE). SCPs were not analysed in LH Lake, and the final  
187 chronology was validated using the chronomarker of the  $^{137}\text{Cs}$  peak (1963 CE).  
188 Detailed procedures for deriving chronologies are presented in our previous study  
189 (Chen et al., 2019).

190 The oven-dried samples (about 0.125 g) were digested with HF-HCl-HNO<sub>3</sub>-  
191 HClO<sub>4</sub> in a Teflon beaker. Inorganic elements including Al, Ti, K, Ca, Na and Mg  
192 were analysed by an inductively coupled plasma-atomic emission spectrometry (ICP-  
193 AES) using SPEX<sup>TM</sup> solution ( $\pm 2\%$  uncertainty) as the standard. Quality control was

assured by the analysis of duplicates, blanks, and standard reference materials (GSD-9 and GSD-11) supplied by the Chinese Academy of Geological Sciences (Zeng et al., 2015). The reproducibility of the duplicated sediment samples was > 90% for all elements. Blank digestion solution results were < 5% for all samples and elements, and all standard deviations in prepared samples were < 7% of documented certified values. Loss-on-ignition at 550°C was used as an estimate of organic matter content (Heiri et al., 2001). Sediment samples of ~ 1g were dried at 105°C for 12 hours in a ventilated oven, weighed and then ignited at 550°C for 5 hours in a muffle-furnace. Finally, weight loss at 550°C was measured using an electronic analytical balance. Sedimentary grain size spectra were measured using a Malvern automated laser optical particle-size analyser (Mastersizer-2000) after the removal of carbonates using 10% HCl and organic matter with 30% H<sub>2</sub>O<sub>2</sub>. Concentrations of inorganic elements were analysed at every second 1-cm interval, while organic matter content and grain size spectra were measured on contiguous 1-cm intervals. Percentages of sand (> 64 µm), silt (4 - 64 µm) and clay (< 4 µm) in each sample and particle-size frequency distribution in selected samples were presented.

### 2.3 Statistical analysis

In order to explore the major underlying gradients in the entire dataset of the five sediment cores, principal components analysis (PCA) was performed on log-transformed data using CANOCO 5 (Šmilauer and Lepš, 2014). Given the effect of variation in organic matter content and grain size on elemental concentrations, elemental data were normalized relative to an inert, lithogenic element in order to reveal changes that could otherwise be masked by the dilution of organic matter (Loring, 1991; Kersten and Smedes, 2002; Löwemark et al., 2011; Grygar and

Popelka, 2016). In this case Al and Ti can be used because of their analytical quality, their conservative nature during transport and weathering and the fact that they are not biologically important (Kylander et al., 2013). Given that both Al and K have similar particle-size frequency distribution, K/Al ratios can be calculated as a potential proxy for tracing sediment provenance (Löwemark et al., 2011; Grygar and Popelka, 2016). Given that the uncertainties of  $^{210}\text{Pb}$  dating increase with depth, temporal analysis mainly focused on the post-1900 period. Non-parametric Kruskal-Wallis one-way analysis of variance by ranks were performed to evaluate the difference in K/Al ratios between the pre-damming and post-damming periods in each lake.

In order to better summarise regional trends after damming, Z-scores of K/Al ratios and organic matter content were calculated in the four dammed lakes (i.e., FT, LH, SH and WH) for direct comparison of trends among the lakes after damming. Generalised additive models (GAMs) were used to identify significant temporal changes in sedimentary records using the default setting for K/Al ratios or organic matter content as the response variable and core chronology as the predictor variable, using residual maximum likelihood method (REML; Simpson, 2020) within the “mgcv” package (Wood and Wood, 2016) in RStudio (R core team, 2020). A base function (k) of 15 was used to gain the best model fit, and the first derivative function of each GAM was identified and used to determine significant trends in the time series data using the “gratia” package (Simpson, 2020). Trends that deviated from 0 (no trend) indicated periods of significant change. The strength of nonlinearity in the driver response relationship was determined according to the effective degrees of freedom (edf) of the GAMs, and an  $\text{edf} > 2$  indicates a highly nonlinear relationship and thus most probably a threshold response (Hunsicker et al., 2016).

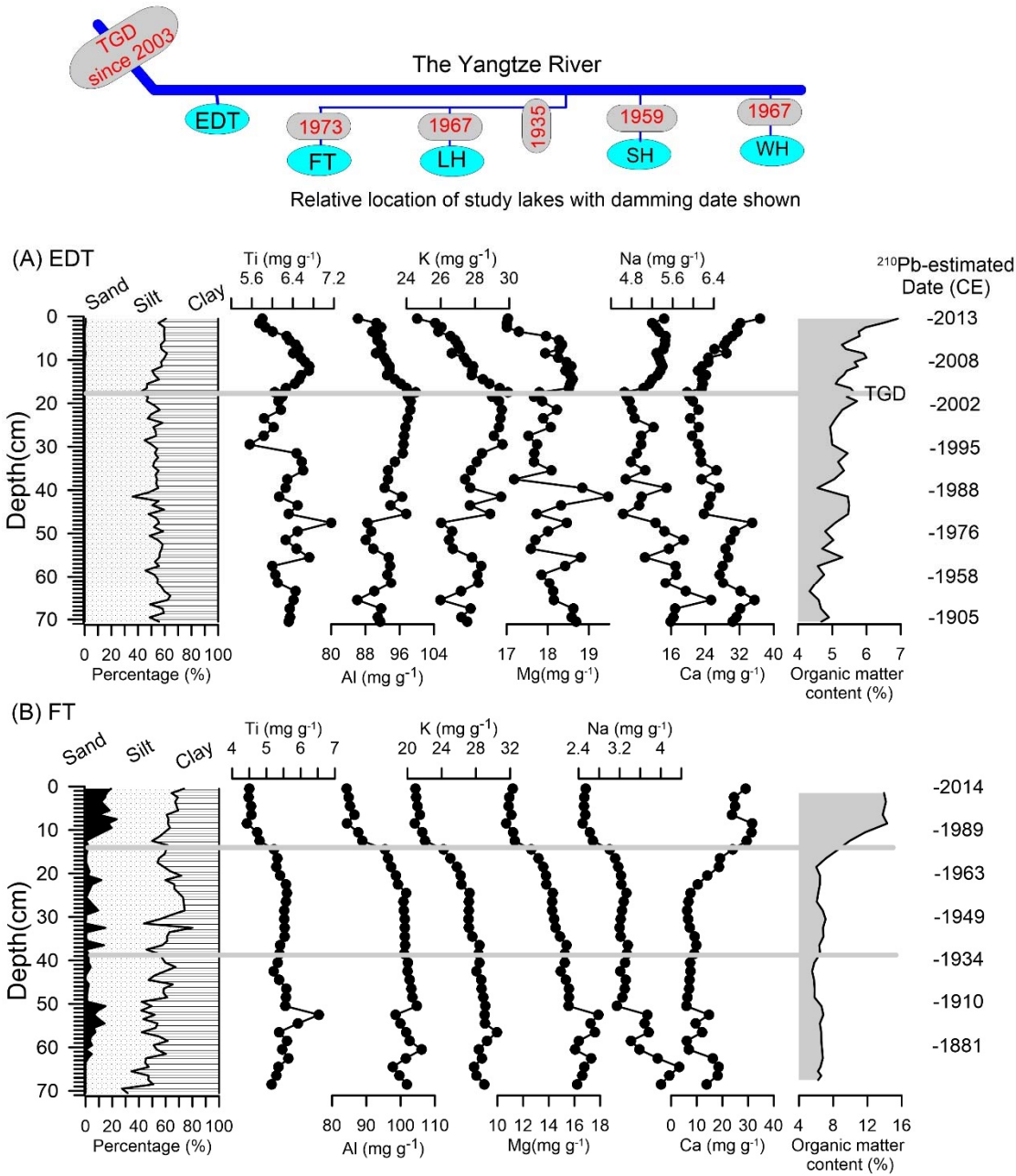
In order to explore potential reasons for changes in sedimentary composition, historical documents of the study region were gathered, including annual sediment load and runoff volume of the Yangtze River at Yichang and Hankou stations (CWRC, 2003-2015), cumulative number and capacity of large reservoirs in the Yangtze River Basin (Yang et al., 2018).

### 3. Results

#### 3.1 Sedimentary multi-proxy records

According to the dating results, the basal dates were ca. 1900 CE for DT (at the depth of 71 cm), ca. 1838 CE for FT (65 cm), ca. 1850 CE for LH (64 cm), ca. 1857 CE for SH (59 cm), and ca. 1832 CE for WH (35 cm) (Chen et al., 2019). Sediments in the five lakes were mainly composed of clay and silt, with a low content of sand, and particle size, elemental concentrations and organic matter content registered marked variations (Fig. 2). In the five sediment cores, organic matter content was less than 16% in all samples (Fig. 2), indicating that lake sediments were organic-poor in the middle Yangtze River reaches. The range of elemental concentrations was 79.9 -106.1 mg g<sup>-1</sup> for Al, 4.4 - 7.1 mg g<sup>-1</sup> for Ti, 20.8 - 30.5 mg g<sup>-1</sup> for K, 2.9 - 39.5 mg g<sup>-1</sup> for Ca, 1.6 - 6.3 mg g<sup>-1</sup> for Na and 9.8 - 19.5 mg g<sup>-1</sup> for Mg. In the upper strata of the five sediment cores, organic matter content and percentages of sand and silt increased, but clay percentages and concentrations of Ti, Al, K and Mg decreased, especially after the operation of dams (Fig. 2). Concentrations of Na and Ca increased in the upper strata of EDT and WH lakes, but decreased in the upper layers of FT and LH lakes. Organic matter content was positively correlated with Ca ( $r = 0.31$ ,  $p < 0.001$ ), but negatively correlated with K, Na, Mg, Ti and Al ( $r < -0.22$ ,  $p < 0.01$ ), suggesting that the

correlations between organic matter content and elemental concentrations are complex in these floodplain lakes.



**Figure 2** Stratigraphic profiles of elemental concentrations, particle-size fraction and organic matter content in the five lakes. Chronologies are sourced from Chen et al. (2019). The horizontal grey bar represents dam construction on the waterway between the lake and the Yangtze River. For EDT Lake, the grey bar indicates the operation of the Three Gorges Dam (TGD) after 2003.

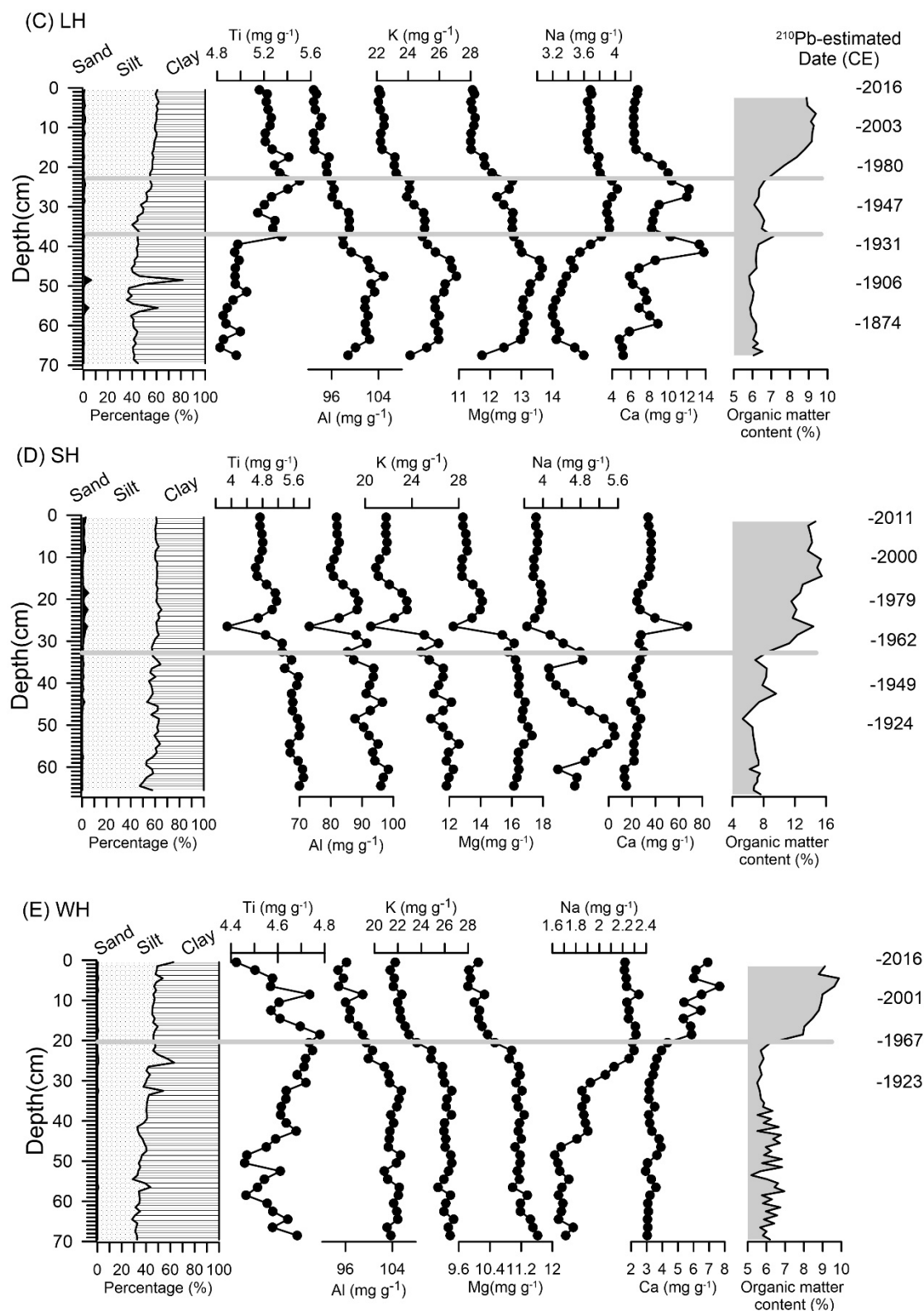
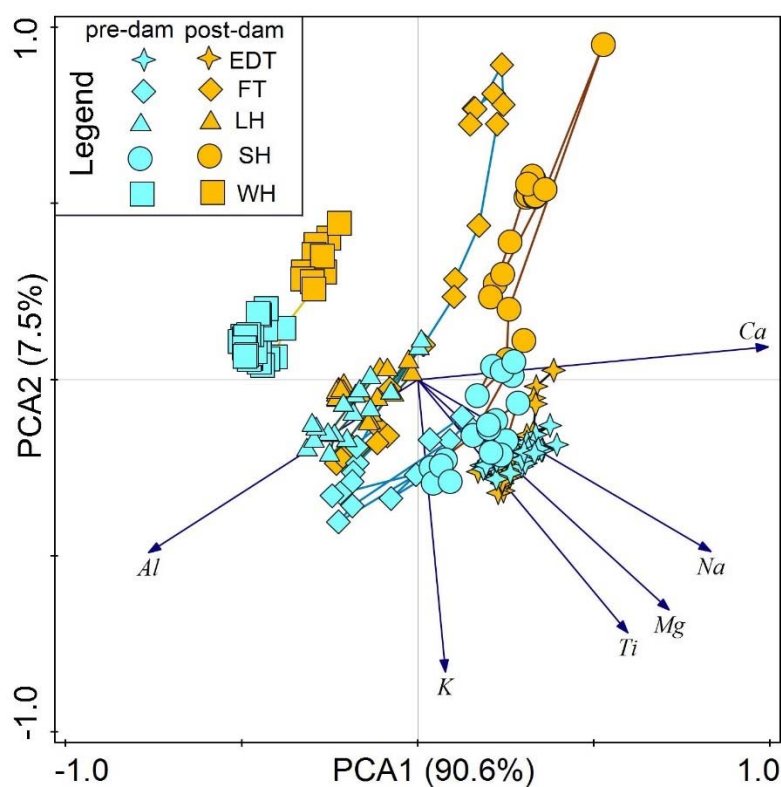


Figure 2 continue

The first and second PCA axes explained 90.6% and 7.5% of the total variance in the entire dataset, respectively (Fig. 3). The first PCA axis was positively correlated

with Ca ( $r = 0.94$ ) > Na (0.83) > Mg (0.71), but negatively related to Al (-0.77). Elemental composition of the five lakes differed from one another, and the differences were arranged in order of the position from the upstream to downstream of the river, with the exception that samples of SH Lake were positioned between FT and EDT lakes, probably due to relative high concentrations of Ca, Na and Mg in this urban lake. The second PCA axis was negatively correlated with K, and hence the increases in PCA2 scores after dam installation indicated a shift towards K-depleted sediments (Fig. 3).

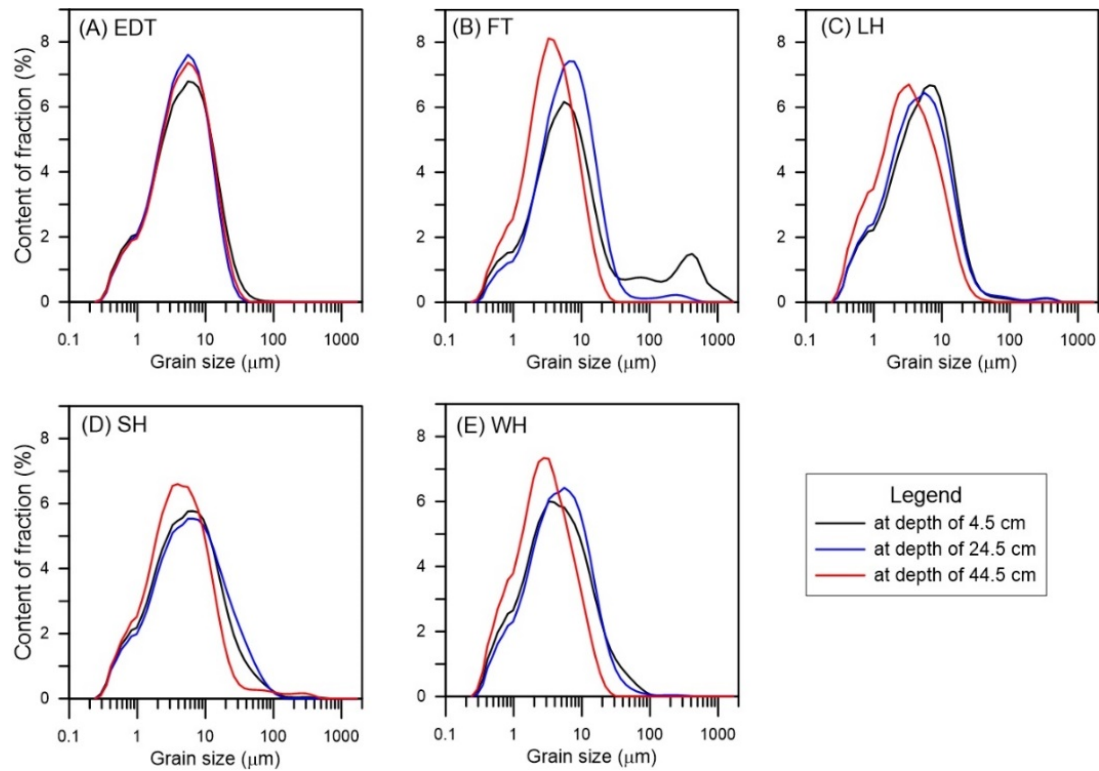


**Figure 3** PCA biplot of concentrations of inorganic elements of the entire dataset of the sediment cores from the five study lakes. Pre-damming and post-damming samples of each core were labelled using blue and yellow colours, respectively.

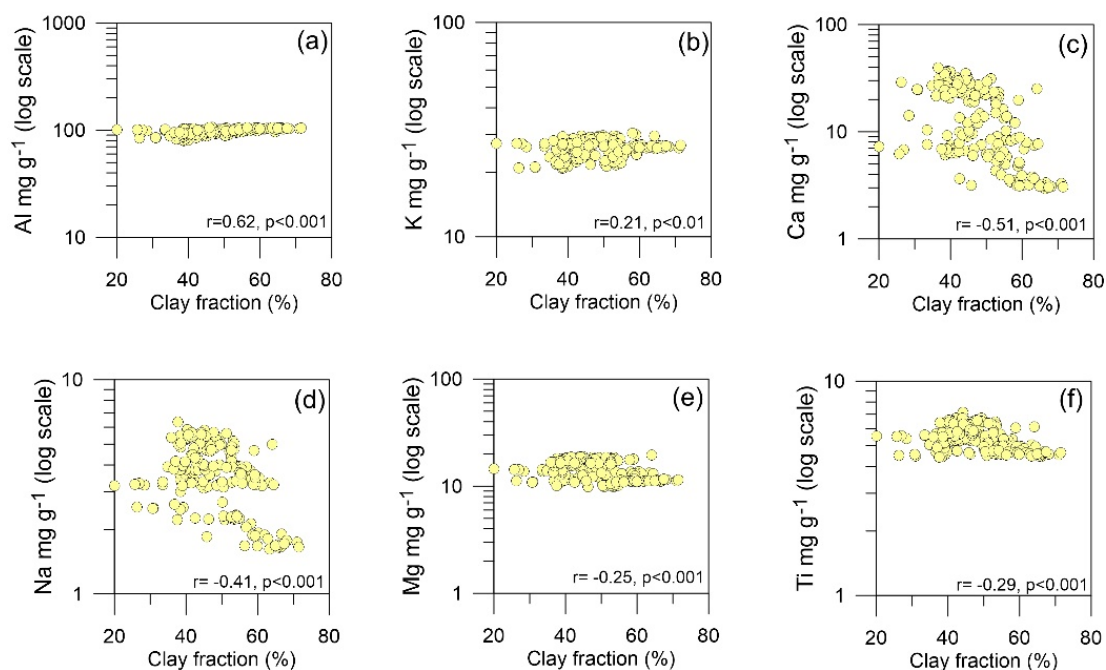
Results of the particle-size frequency distributions showed that most samples displayed a unimodal distribution, with the modes mainly occurring between 3 and 11  $\mu\text{m}$  (Fig. 4). Few samples registered a bimodal distribution, such as the sample at the



depth of 4.5 cm in FT Lake displaying two modes at  $\sim 5.5 \mu\text{m}$  and  $\sim 420 \mu\text{m}$ , respectively (Fig. 4). Correlation analyses revealed that clay percentages displayed positive correlations with both Al and K, but negative correlations with Ti, Ca, Na and Mg (Fig. 5), suggesting that Al and K were relatively enriched in fine particles.

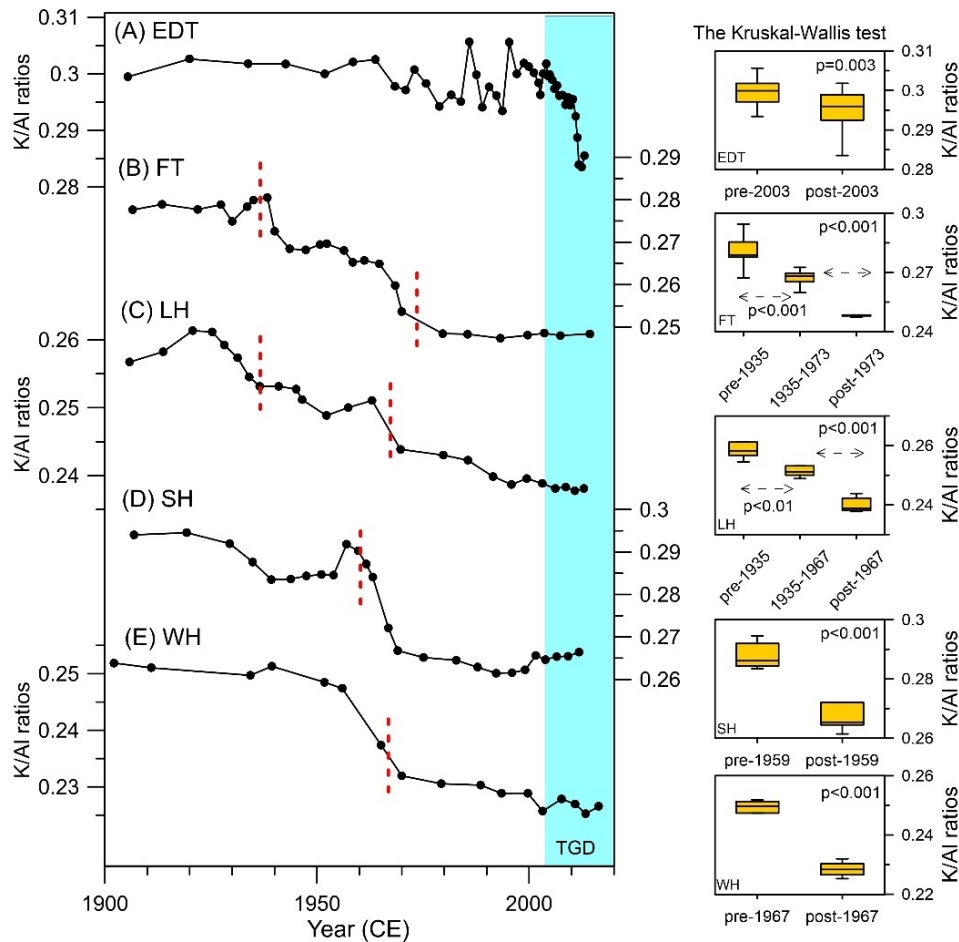


**Figure 4** Particle-size frequency distribution of selected sediment samples at the depths of 4.5 cm, 24.5 cm and 44.5 cm in each core.



**Figure 5** Correlations between concentrations of major elements and clay percentages in the entire dataset of the sediment cores from the five study lakes, with correlation coefficient and significant level shown.

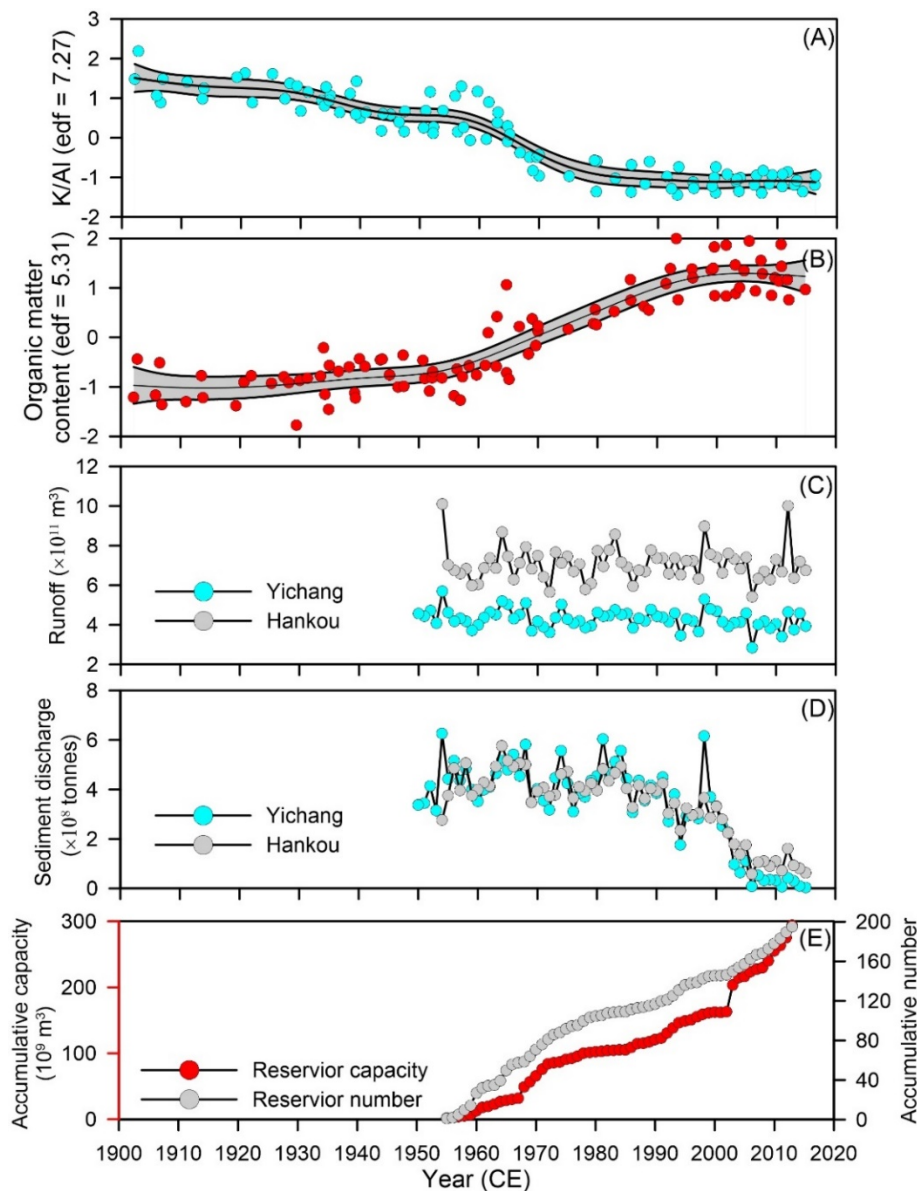
Overall, sedimentary K/Al ratios ranged from 0.22 and 0.31 in the sediment cores from the five lakes and displayed significant decreases ( $p < 0.01$ ) after the operation of dams/ local sluice gates (Fig. 6). In WH, the onset of the decline in K/Al ratios preceded the local dam operation in 1967, probably due to the uncertainties in  $^{210}\text{Pb}$  dating ( $\pm 6$  years). In EDT, K/Al ratios remained relatively stable before 2003 and then displayed a unidirectional decrease ( $p < 0.05$ ), especially the post-2010 samples which fell outside the range of variability preceding the TGD operation.



**Figure 6** Changes in K/Al ratios for EDT, FT, LH, SH and WH lakes. Vertical red dashed lines indicate the operation of local sluice gates. The blue rectangle represents the operation of the Three Gorges Dam (TGD) from 2003. The significance of difference between pre-damming and post-damming periods was tested by the Kruskal-Wallis test for each lake, with significant *p* value shown.

The results of GAMs based on z-scores of K/Al ratios and organic matter content in the four dammed lakes (i.e., FT, LH, SH and WH) revealed that the effective degrees of freedom (edf) for K/Al and organic matter content were > 4 indicating a nonlinear change. The first derivate results detected a significant change after 1956 for K/Al ratios and 1953 for organic matter content. At Yichang and Hankou stations, annual runoff volume remained relatively stable, while annual sediment discharge

decreased, especially after the TGD operation (Fig. 7D). Prior to the foundation of People's Republic of China in 1949, dams were rare and small. Thereafter, new and large dams (as indicated by number and capacity of reservoirs) were constructed in the Yangtze River Basin with significant rises after 1956 (Fig. 7E).



**Figure 7** Comparison of Z-scores (black filled circles) of K/Al ratios (A) and organic matter content (B) in the four dammed lakes (i.e., FT, LH, SH and WH), (C) annual sediment discharge and (D) runoff volume of the Yangtze River at Hankou and Yichang stations (CWRC, 2003-2015), (E) cumulative number and capacity of large

reservoirs (each with a storage capacity  $> 0.1 \text{ km}^3$ ) in the Yangtze Basin (Yang et al., 2018).

## 4. Discussion

### 4.1 *Potential sources for major elements in lake sediments*

As the sediment load between July and September accounts for ca. 60% of total annual sediment load of the middle Yangtze River reaches (CWRC, 2003-2015), it is likely that suspended particulates from the Yangtze River are an important source of the sediments in the floodplain lakes (Yang et al., 2016). In Wanghu Lake, Yi et al. (2006) found that large quantities of light-coloured and titanium-poor sediment from the Yangtze River were deposited during the flood periods, when the river drained back into the lake. Similarly, riverine suspended particles from the Yangtze River were found to be an important sediment source for the lacustrine sediment in the middle Yangtze River reaches (Boyle et al., 1999; Chen et al., 2016; Zeng et al., 2018; Nie et al., 2022). In addition, Quaternary alluvium (older sediments) in the local catchment can be transported into lakes through surface runoff, and hence provides another essential source for lake sediments (Nie et al., 2022).

In the Yangtze River, Ca, Mg, Na and K can be supplied by the erosion of carbonates, silicates and evaporites in the upper reaches (Chen et al., 2002). The median  $\text{Ca}^{2+}$  concentration of  $34.1 \text{ mg L}^{-1}$  in the waters of the Yangtze River is more than four times the global median ( $8 \text{ mg L}^{-1}$ ), and hence it is a typical carbonate-dominated river (Chen et al., 2002). A survey performed in July 2003 revealed that mean contents of major elements in suspended particles of the upper Yangtze reaches (the Sichuan Basin) were 15.26% for  $\text{Al}_2\text{O}_3$ , 0.95% for  $\text{Ti}_2\text{O}$ , 6.25% for  $\text{CaO}$ , 3.8% for  $\text{MgO}$ , 3.17% for  $\text{K}_2\text{O}$  and 0.99% for  $\text{Na}_2\text{O}$ ; meanwhile, contents of  $\text{Al}_2\text{O}_3$ ,  $\text{Ti}_2\text{O}$ ,

CaO, MgO, K<sub>2</sub>O and Na<sub>2</sub>O were 16.09%, 1.03%, 4.91%, 3.47%, 3.08% and 0.9% in Dongting Lake, respectively (Ding et al., 2013). The results suggest that suspended particles of the upper Yangtze reaches are relatively enriched in Ca, Mg, K and Na, but depleted in Al and Ti (Ding et al., 2013). In contrast, sediments in the five lakes are generally more depleted in K, Ca, Na and Mg, but enriched in Al and Ti in comparison with the upper continental crust (cf. Taylor and McLennan, 1995). In the middle Yangtze floodplain, low relief and favourable monsoon climate are responsible for strong chemical weathering, and hence producing mature sediments that are depleted in soluble elements in the local catchment (Gaillardet et al., 1999; Yang et al., 2019).

In addition to the supply of terrigenous detritus from the Yangtze River and the local catchment, Ca and Mg can be derived from autochthonous production within lakes, while Na can originate from anthropogenic sources (e.g., agricultural sewage) (Chetelat et al., 2008; Kylander et al., 2013). In this study, the positive correlation between Ca and organic matter content suggested that rising Ca concentrations could be driven by biological calcification and/or organic matter production and subsequent deposition, especially in EDT, FT and WH lakes (Fig. 2). Both LH and WH lakes are important fisheries, and the usage of organic fertilizers (e.g., livestock manures and other agricultural wastes) probably caused recent increases in Na concentrations (Fig. 2). Therefore, effects of algal production and anthropogenic pollution cannot be ruled out for the variations in sedimentary Ca, Mg and Na.

K is largely controlled by the abundance of micas, clays and feldspar, particularly potassium feldspar in the case of K<sub>2</sub>O (He et al., 2015; Latuso et al., 2017). Both Al and Ti are considered to be typical inert elements in earth surface environments, and anthropogenic sources for both elements are negligible (Camerero et al., 2009; Lin et

al., 2018). Notably, the weak correlation between Ti and Al in this study (Fig. 3) probably resulted from their different temporal patterns in stratigraphic profiles of the study lakes, such as EDT, LH and WH lakes (Fig. 2). Sediments from the local catchment and the Yangtze River have different geochemical properties (Ding et al., 2013; Nie et al., 2022). In WH Lake, Yi et al. (2006) found that dark sediments from the local tributary were enriched in Ti, while light sediments from the Yangtze River were rich in Al. Temporal changes in the relative contribution of different sediment sources probably accounted from the weak correlation between Ti and Al in these lakes. Given that Al and K had similar particle-size distribution (Fig. 5), K/Al ratios in lake sediments can be a very efficient proxy for tracking relative contribution of sediments from the local catchment and fresh riverine particulates from the upper Yangtze River reaches.

#### *4.2 Dam-induced shifts in sediment sources and composition*

Before the operation of local sluice gates, relatively high K/Al ratios in the study lakes indicated a large influx of suspended particulates that were enriched in K but depleted in Al from the upper Yangtze River reaches, where most of sediment load in the Yangtze River is derived (Wang et al., 2008; Tian et al., 2021). For example, mean annual sediment load from the Yangtze River into Dongting Lake (the hydrologically open lake proximal to the TGD) was more than  $1.2 \times 10^8$  tonnes before the TGD operation (CWRC, 2003-2015). High K/Al ratios indicate that fresh riverine particulates can be easily transported from the Yangtze River into the lakes during periods of high river discharge before the operation of dams. However, the operation of dams limited free connectivity between lakes and the river (Wang et al., 2016), and hence riverine particulates from the Yangtze River would be blocked from entering

floodplain lakes during the rainy season (Yi et al., 2006; Guo et al., 2012; Zeng et al., 2018). Therefore, the contribution of K-rich but Al-poor riverine particulates (fresh detritus) from the upper Yangtze River reaches decreased (Yi et al., 2006), while Quaternary alluvium that had undergone intense chemical weathering in the local catchment became the major source of lake sediments after damming. Coincidentally, reclamation of land surrounding lakes has caused lake area shrinkage since the mid-20th century (Wang et al., 2016). In the Jiangnan and Dongting Lake plains of the middle Yangtze River reaches, total lake area declined from 11,103 km<sup>2</sup> to 5,950 km<sup>2</sup> between the 1950s and the 1970s, resulting from the expansion of polders during this period (Du et al., 2011). Due to lake area shrinkage and intense agricultural activities, coarse-grained and K-depleted sediments in the local catchments would be more easily transported into lakes through surface runoff. Therefore, it can be expected that a rising contribution of K-depleted and Al-enriched sediments from the local catchment would further reduce K/Al ratios in these floodplain lakes after damming.

In FT and LH lakes with the operation of local sluice gates in the 1930s, the onset of decreases in K/Al ratios was earlier than that in other lakes. In contrast, the onset of rapid decreases in K/Al ratios in the hydrologically-open EDT Lake lagged behind that in other lakes (Fig. 6). It is estimated that annual sediment load from the Yangtze River into Dongting Lake decreased significantly from 123 Mt (1970-2002) to 17 Mt (after 2003), whereas the relative contribution of sediment load from the local catchment increased (Lai et al., 2021; Nie et al., 2022). After the TGD operation, sediment-starved water released from the dam eroded the Yangtze River bed, probably causing the riverbed to erode to levels below the entrance of the inlets (Lai et al., 2021). It is reasonable to assume that the influx of riverine particulates from the Yangtze River into EDT Lake would decline further. Therefore, sediment provenance



shifted towards K-depleted, but Al-enriched sediments from the local catchment, probably accounting for the drop in K/Al ratios after the TGD operation in 2003 (Fig. 6). During the same period, annual runoff volume of the Yangtze River at Yichang and Hankou stations remained relatively stable (Fig. 7), suggesting that declining K/Al ratios cannot be attributed to runoff changes.

Most sluice gates between floodplain lakes and the Yangtze River were established between 1950 and 1970 (Table 1). Correspondingly, the general declining trend in K/Al ratios became significant after the 1950s in the dammed lakes (Fig. 7). K/Al ratios in EDT Lake fluctuated naturally before the TGD operation in 2003 (Fig. 6), providing a Before-After-Control-Impact (BACI) experimental design to assess effects of damming on sedimentary composition of the four lakes which became dammed before 2003. Meanwhile, prolonged water retention time after damming probably enhanced primary production, as suggested by rising organic matter content in the upper strata (cf. Boyle et al., 1999). In particular, hydrological regulation can stimulate algal blooms in the study lakes that are suffering from nutrient enrichment (NIGLAS, 2019). In EDT Lake, algal biomass increased abruptly after the TGD operation, suggested by rapid increases in sedimentary chlorophyll-a and beta-carotene concentrations (Chen et al., 2016). In addition, reduced hydraulic flushing can promote the deposition of organic matter in the dammed lakes. Similar processes were also observed in two pans of the Pongolo River floodplain (Heath and Plater, 2010). Furthermore, the enrichment of organic matter can be in part due to the declining influx of minerogenic-rich suspended riverine sediments, and this effect cannot be ruled out in the present study. Given the complexity of organic matter sources from autochthonous and terrestrial production, the response of primary

production to hydrological regulation needs to be further explored using multi-proxy analyses, such as carbon, nitrogen and their stable isotopes.

## **5. Conclusions**

Sedimentary concentrations of inorganic elements, organic matter content and particle size spectra registered substantial changes in five floodplain lakes in the middle Yangtze River reaches during recent decades. Recent decreases in K/Al ratios and clay percentages in these floodplain lakes were mainly linked to declining supply of K-rich particulates from the Yangtze River due to the operation of dams/ local sluice gates. The timing of environmental changes in most lakes (except EDT) precedes the TGD operation which began in 2003, suggesting that the TGD is not the sole driving force. Given that a paucity of information exists regarding potential effects of hydrological regulation on floodplain ecosystems over multi-decadal timescales, K/Al ratios are capable of fingerprinting dam-induced changes in the composition and supply of sediment in the Yangtze River floodplain as well as other similar floodplains over multi-decadal timescales. Given the large number of dam-affected lakes both within and outside of the Yangtze floodplain, this approach could provide a relatively rapid and simple way of assessing the influence of hydrological regulation.

It is necessary to consider spatial variations of environmental conditions within the Yangtze River floodplain, and so multi-sediment core studies in each lake (especially the large lakes) could reveal spatiotemporal variations of sediment composition in response to hydrological regulation. Because of the construction of new large dams in the upper Yangtze River reaches, sediment load from the mainstream into floodplain lakes most likely will continue to decline. Therefore,

progressive alterations in sedimentary composition can be expected in the coming decades.

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## **Data Availability**

Supplementary data to this article can be found online.

## **Declaration of competing interest**

There is no conflict of interest.

## **Author contributions**

Xu Chen: Conceptualization, Investigation, Writing – review & editing. Suzanne McGowan: Writing – review & editing. Jing Ji: Investigation. Linghan Zeng: Investigation, Writing – review & editing. Yanmin Cao: Investigation. Chunling Huang: Investigation. Qianglong Qiao: Investigation. Jia Liang: Investigation. Lijuan Nie: Investigation.

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